



# Signal Processing for SiPM timing applications in the presence of High Dark Count Rate

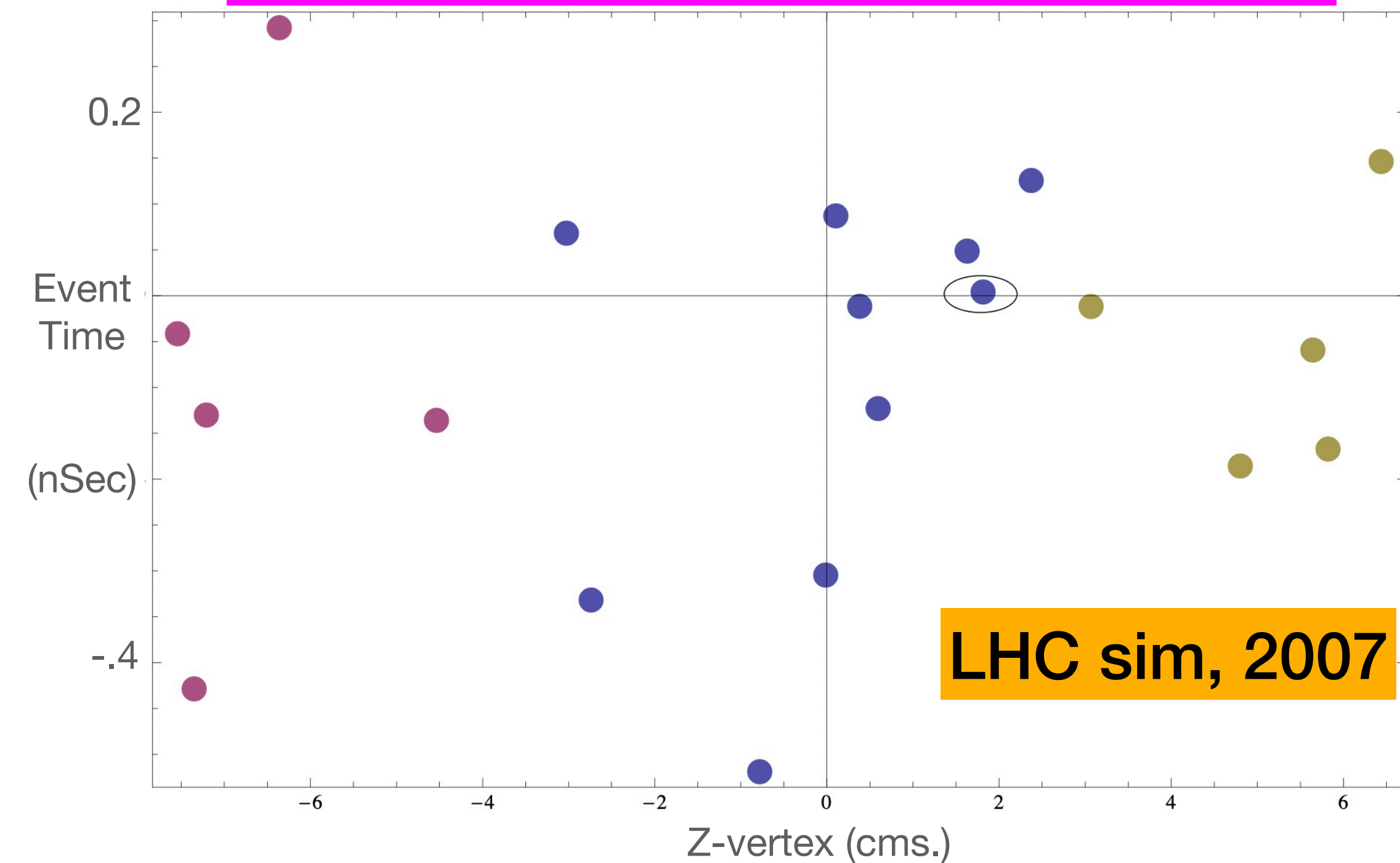


- SiPMs now a popular, fast, low-noise photodetector
- In HEP applications noise (aka Dark Count) Rate goes
  - From  $10^{-2}$  Hz/mm<sup>2</sup> (see Savarese, DarkSide talk today)
  - to  $>10^9$  Hz/mm<sup>2</sup> (see C. Perez, CMS BTL talk today \*)
- CMS now intense program to meet SiPM timing goals \*
- In This talk I demonstrate Signal Processing technique
  - -> potentially beneficial in CMS & elsewhere



# Original Motivation for MIP timing in ATLAS and CMS HL-LHC upgrades

Interaction Vertices in Time and z (@20 int/crossing)



LHC sim, 2007

LHC simulation: SNW, 2007 - <https://arxiv.org/pdf/0707.1500.pdf>

## Model LHC Bunch crossing:

- Bunch length (emittances)
- Crossing angles and Beta\*

Gaussian Densities → Time invariant  
Z-vertex shape of events

Time tagging could resolve Z overlaps.

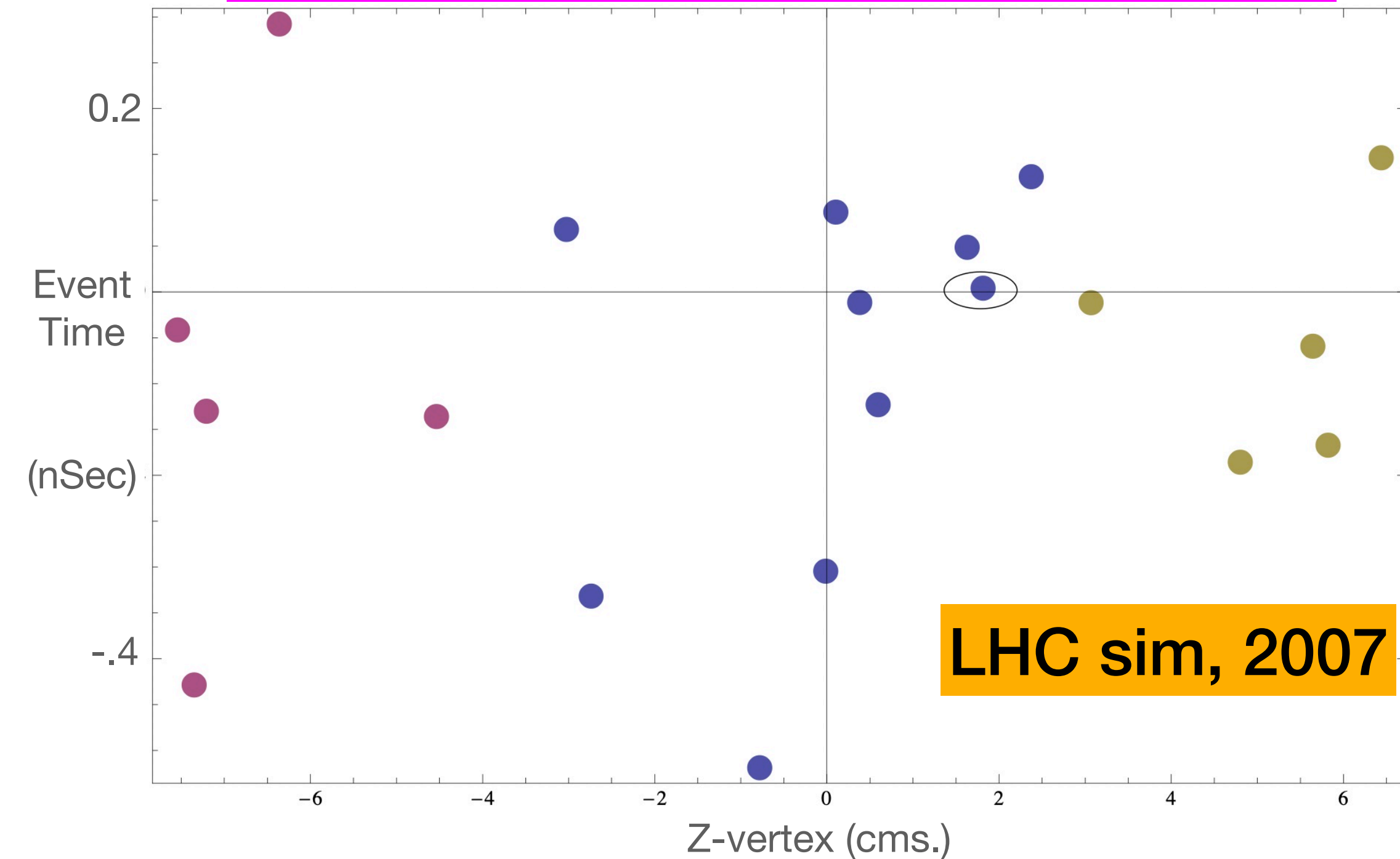
## Mitigating Physics Backgrounds due to Pileup:

- Previous collider (Tevatron) reached  $\mu \sim 6$  int./crossing → z-vertex an adequate discriminant
- Z-vertex time to  $< 100$  picoseconds → extends viability to higher pileup
- Since TDR, CMS exploring additional physics enabled by MTD (pid in Heavy Ions, LLPs, etc.)



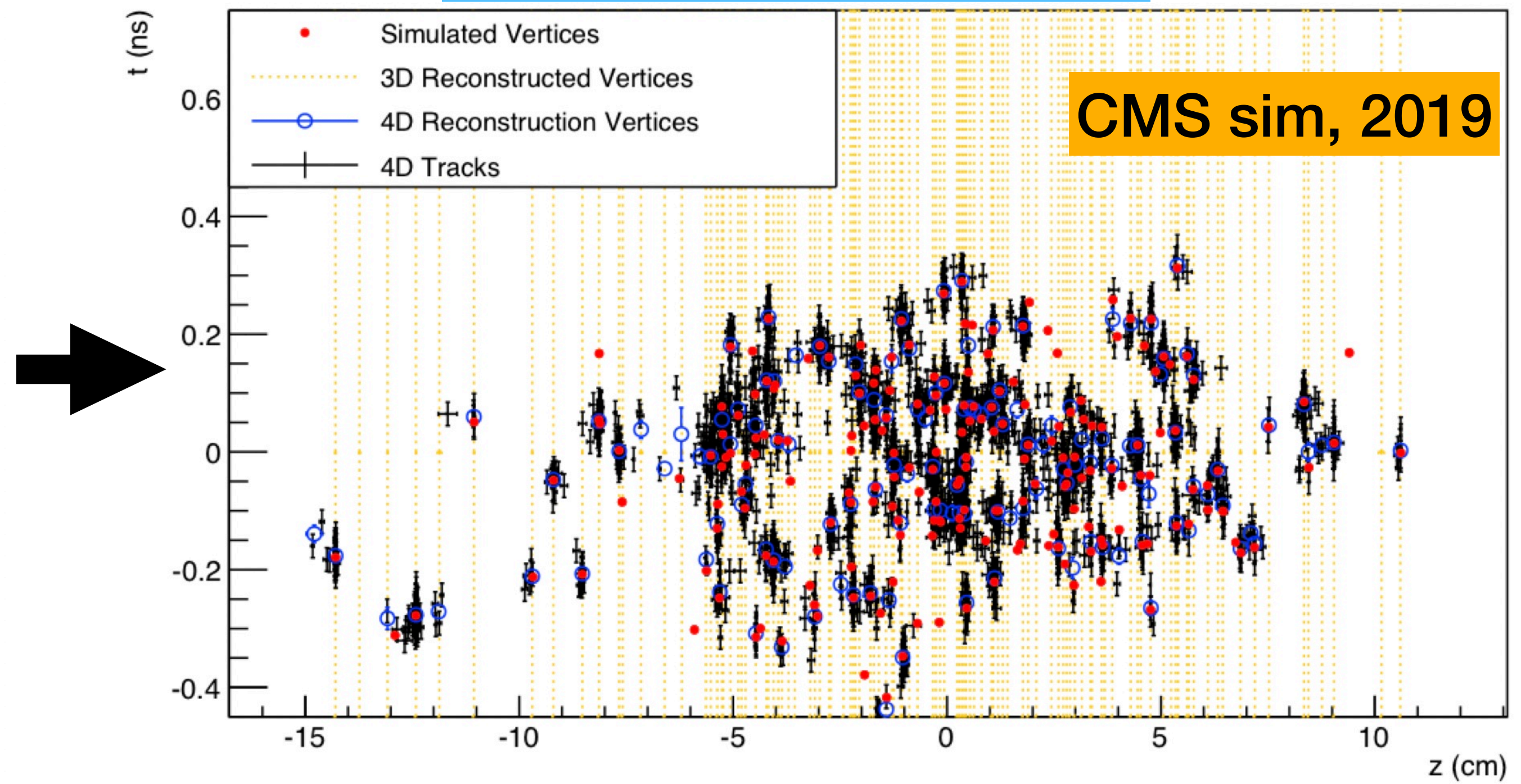
# Original Motivation for MIP timing in ATLAS and CMS HL-LHC upgrades

Interaction Vertices in Time and z (@20 int/crossing)



LHC simulation: SNW, 2007 - <https://arxiv.org/pdf/0707.1500.pdf>

CMS Simulation (@140 int/crossing)



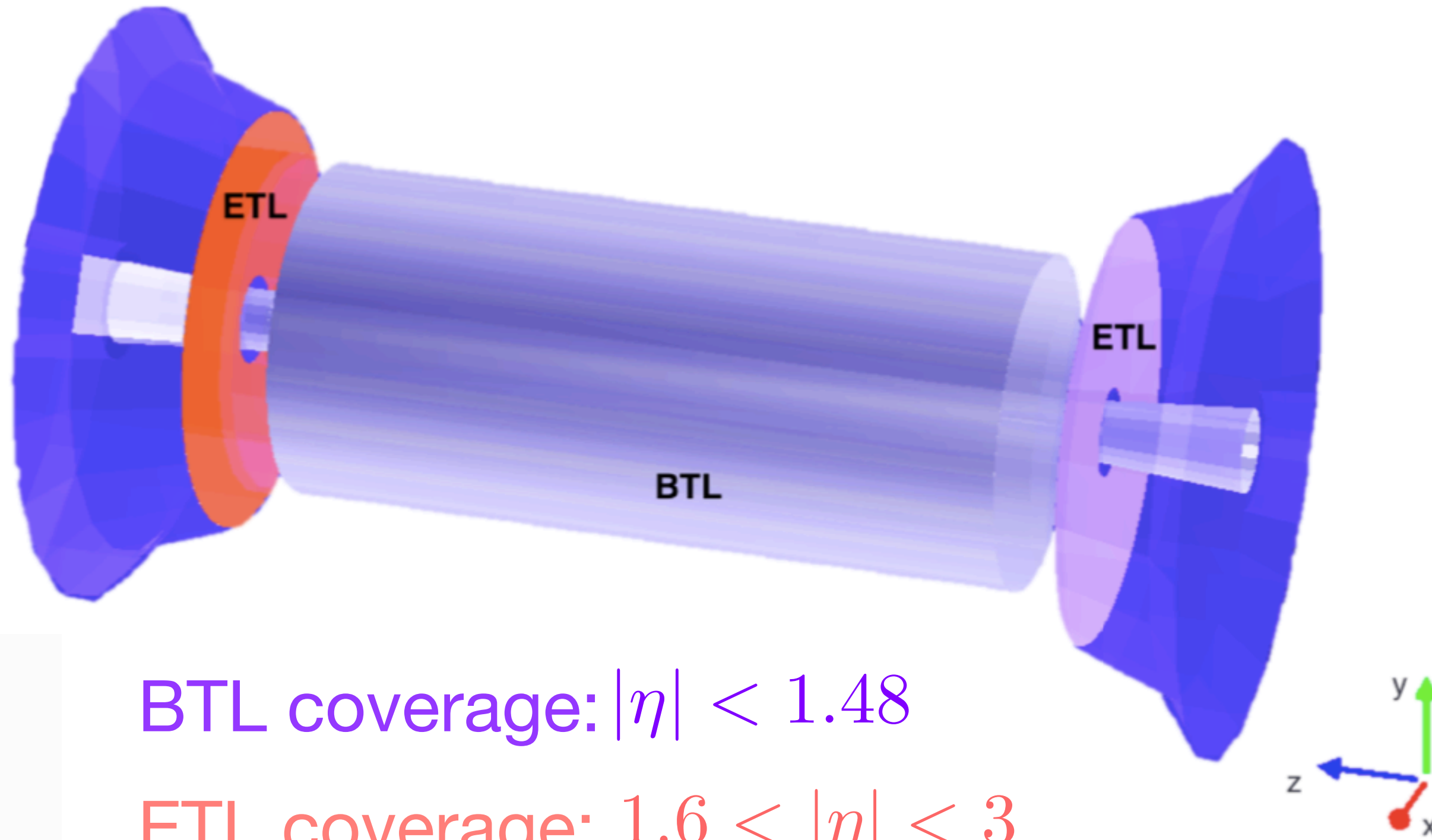
CMS performance simulation, 2019- CERN-LHCC-2019-003

## Mitigating Physics Backgrounds due to Pileup:

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# CMS approach: “Hermetic Timing”



BTL coverage:  $|\eta| < 1.48$

ETL coverage:  $1.6 < |\eta| < 3$

## Consequences:

- Radiation dose @HL-LHC to  $3000 \text{ fb}^{-1}$ 
  - > Large dose variation over timing detector
  - > Reaches  $\sim 2 \text{ e}^{14} \text{ neq/cm}^2$  max in BTL
- Also particle density-> pixel size-> small pixel in ETL

*For ETL, producers of “Low Gain Avalanche Diodes”  
-> matched to ETL requirements*

## What Technology for Barrel Timing?

- Occupancy->  $\sim 1 \text{ cm}^2$  pixel size
- Radiation tolerance to  $\sim 2 \text{ e}^{14} \text{ neq/cm}^2$
- Time resolution 30-> 70 picoseconds BOL->EOL at HL-LHC

## Candidates for Barrel Timing:

- Several Approaches discussed in ALICE 3 LOI  
**CERN-LHCC-2022-009**
- See also J. Va’vra: HL-eIC timing talk  
<https://indico.bnl.gov/event/14504/timetable/#20220623.detailed>
- Also RD51 and RD50 R&D “common projects”

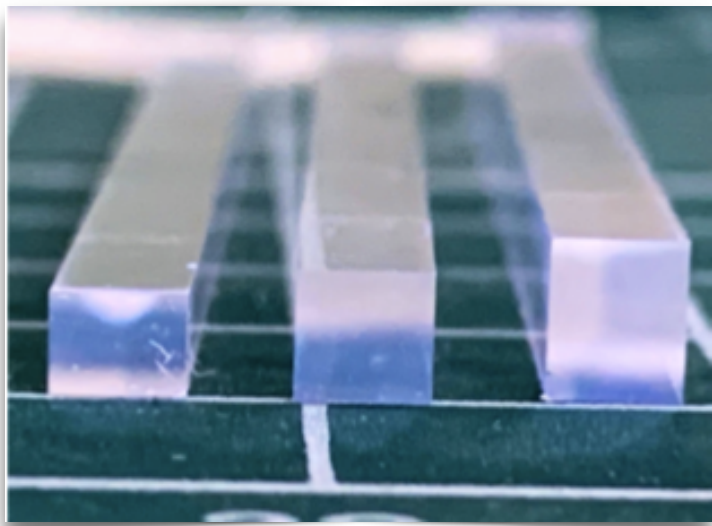
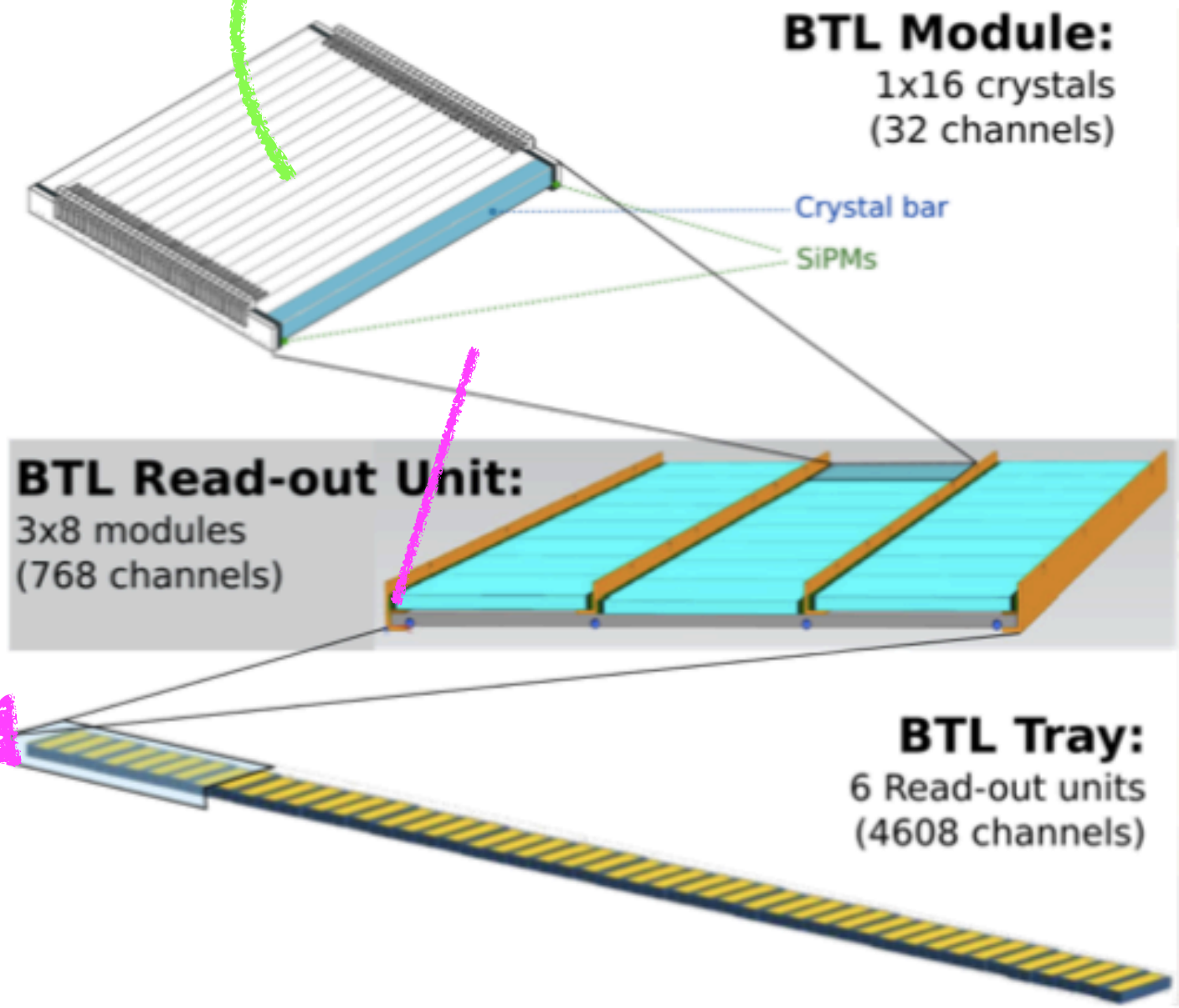
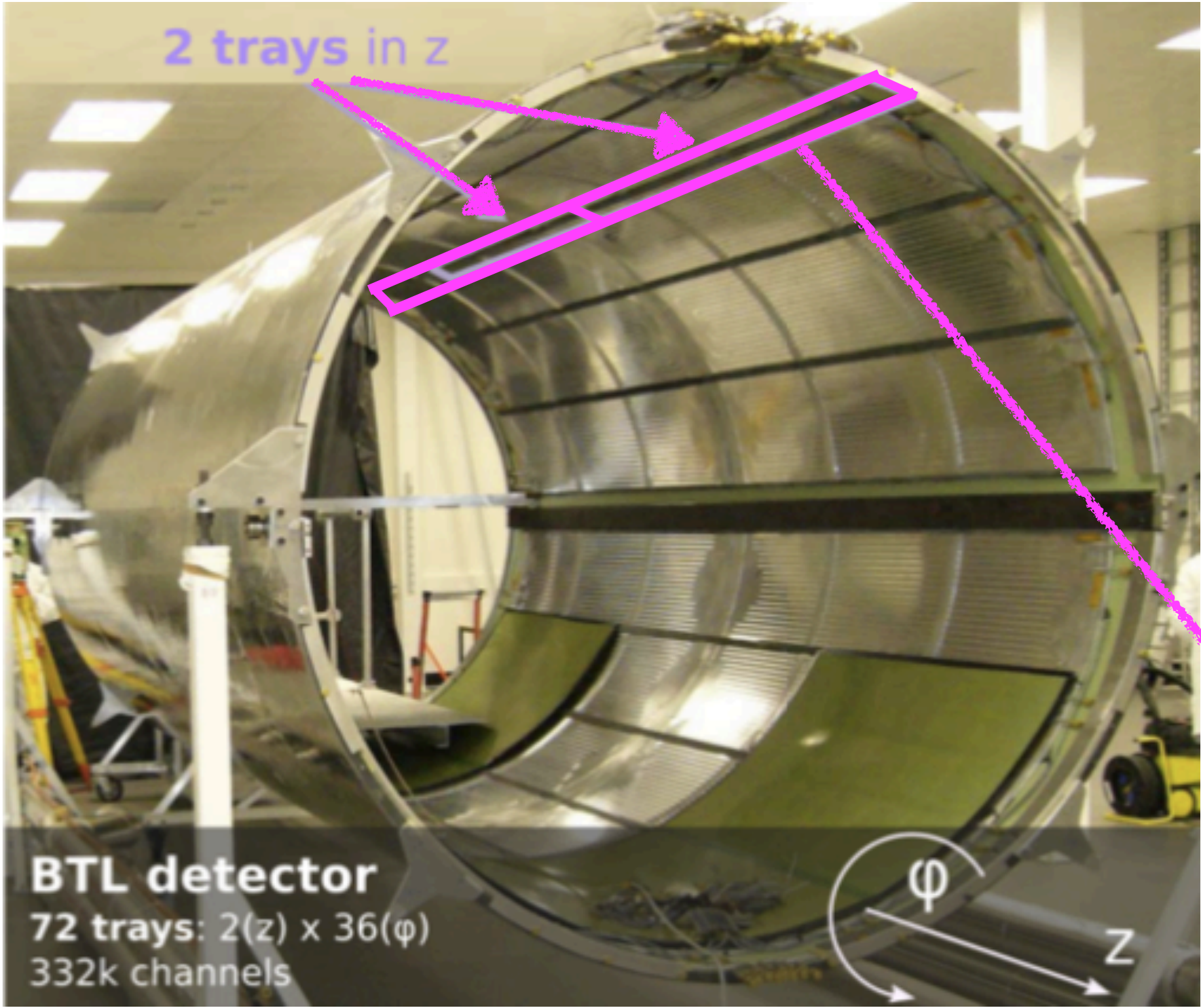
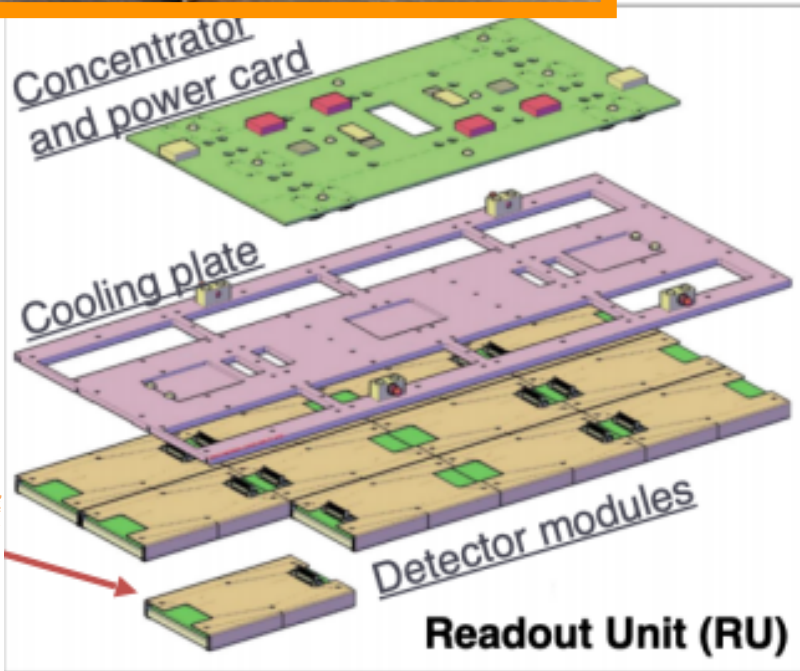
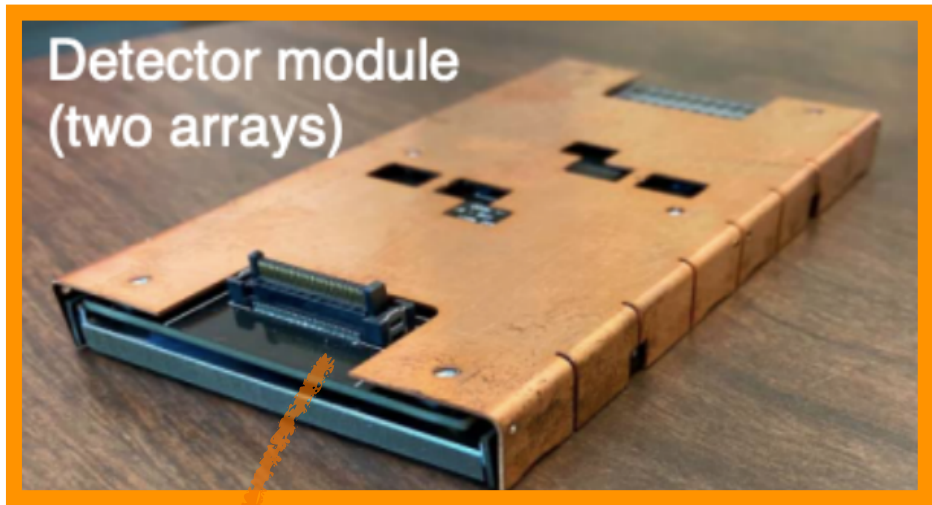
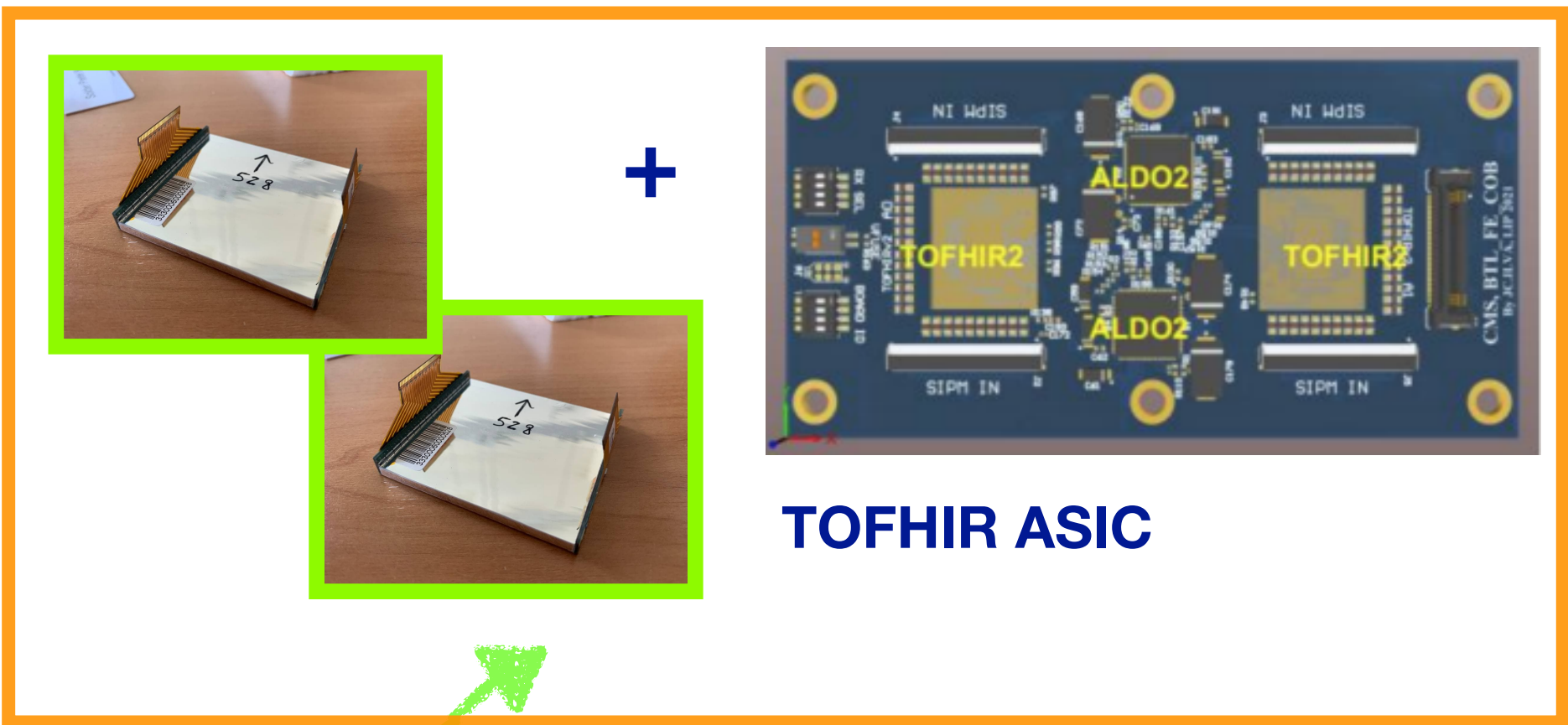
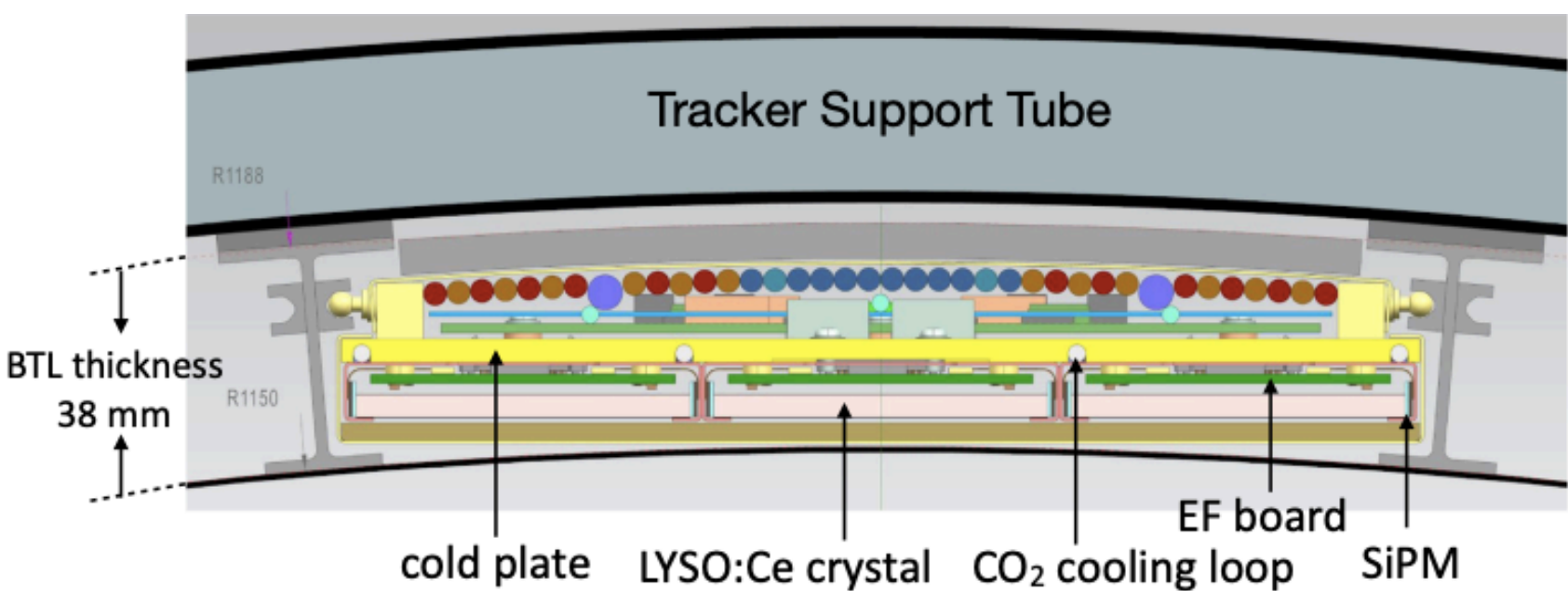
<https://doi.org/10.1016/j.nima.2021.165076>  
(1  $\text{cm}^2$  pixels, <25 picosecond jitter)<sup>PICOSEC</sup>

Silicon: High Gain Avalanche Diodes:  
<https://doi.org/10.1016/j.nima.2019.162930>  
(64  $\text{mm}^2$ ,  $\sim 27 \text{ psec}$ )



# CMS Barrel Timing Layer (BTL)

 T= -35°C with CO<sub>2</sub> cooling

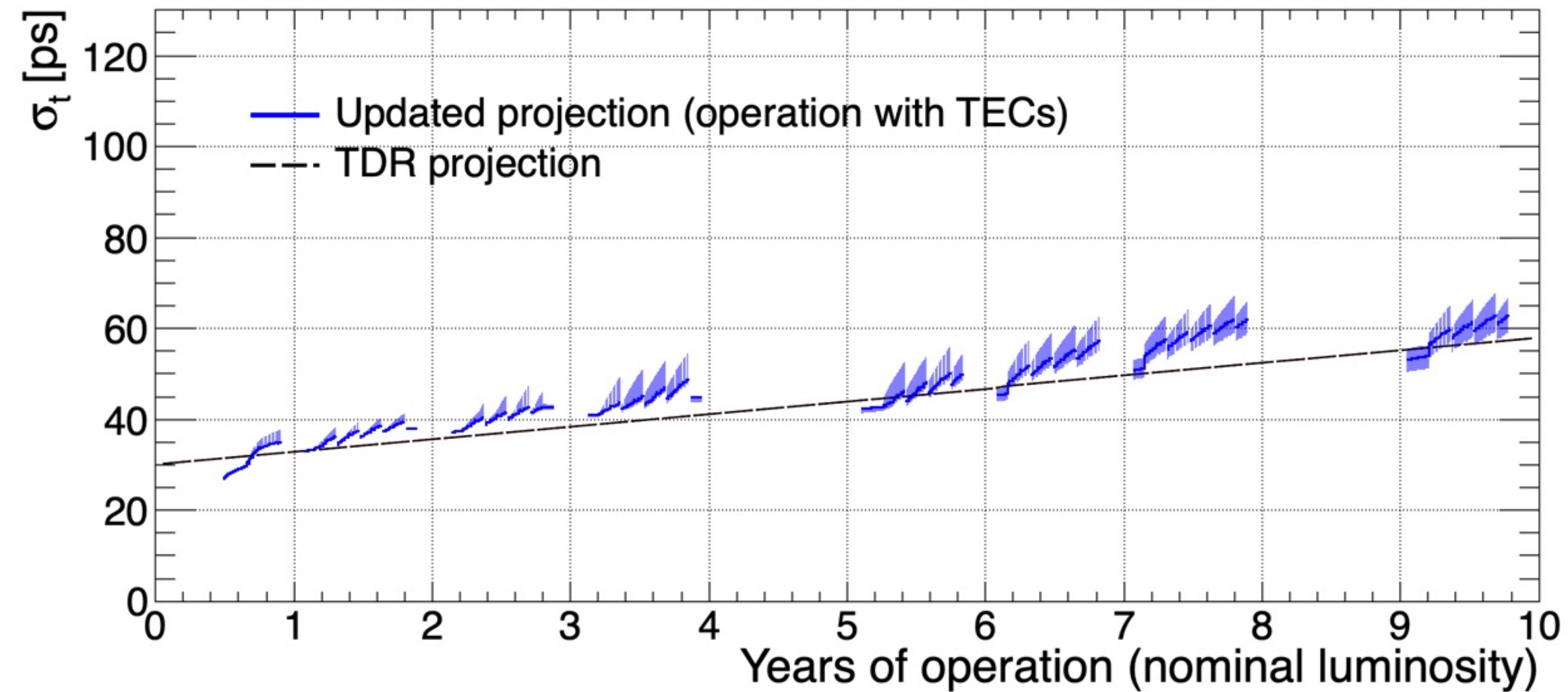


| Type                 | t (mm) | E <sub>tal</sub> |
|----------------------|--------|------------------|
| 1                    | 3.75   | 0-0.7            |
| 2                    | 3      | 0.7 - 1.1        |
| 3                    | 2.4    | 1.1 - 1.5        |
| L=56.2 mm, w=3.12 mm |        |                  |

CMS Technology Selection: LYSO Bars/SiPM readout



## Current CMS Projection of BTL Performance over HL-LHC



- LYSO/SiPM timing was an “existing, proven” technology.  
*Inverse of HEP spinoff->Medical Imaging*
- But performance under high radiation ( -> high DCR) not a given.  
*New culture: Detector R&D-> “think about EOL performance”*

In CMS BTL intense activity to achieve above projection:

- Agressive Cooling and annealing (when beam off).-> TEC
- Enhanced signal -> modify SPAD size and  $C_{\text{grid}}$ , etc of SiPM
- Some aspects still to be tested.

## Can we do still better using improved Signal Processing?

*(Rest of this talk)*

### Outline for rest of talk

- Evidence for a tool to specifically reduce DCR time jitter with new signal processing from beam data*  
(not discussed here)
- Systematic scan of Dark Count Rate in lab data  
-> properties of DCR noise
- Common Aspects of 1/f noise in music, neuroscience, etc
- Demonstration of a “DCR noise mitigation tool” based on

$$\partial t \text{ and } \partial \frac{dV}{dt} \text{ correlation}$$

- Summary

# Complement CMS Test Beam data with Systematic scan of Dark Count Rates (DCR) in lab (laser) data.

2019 laser data taken in Joram Lab (former LHCb fiber QC).

**Used Hamamatsu 3x3 SiPM package S12572-015C-172 , custom TIA (T. Anderson, U. Va)-> LRS scope @ 20 GSa/s , DCR varied via LED or irradiated SiPMs.**

- Discussed in: “*Digitized Waveform Signal Processing for Fast Timing: An Application to SiPM Timing in the Presence of Dark Count Noise*”, SNW & A. Heering (mostly Discuss benefit of SDL shaping)

<https://arxiv.org/pdf/2003.02765.pdf>

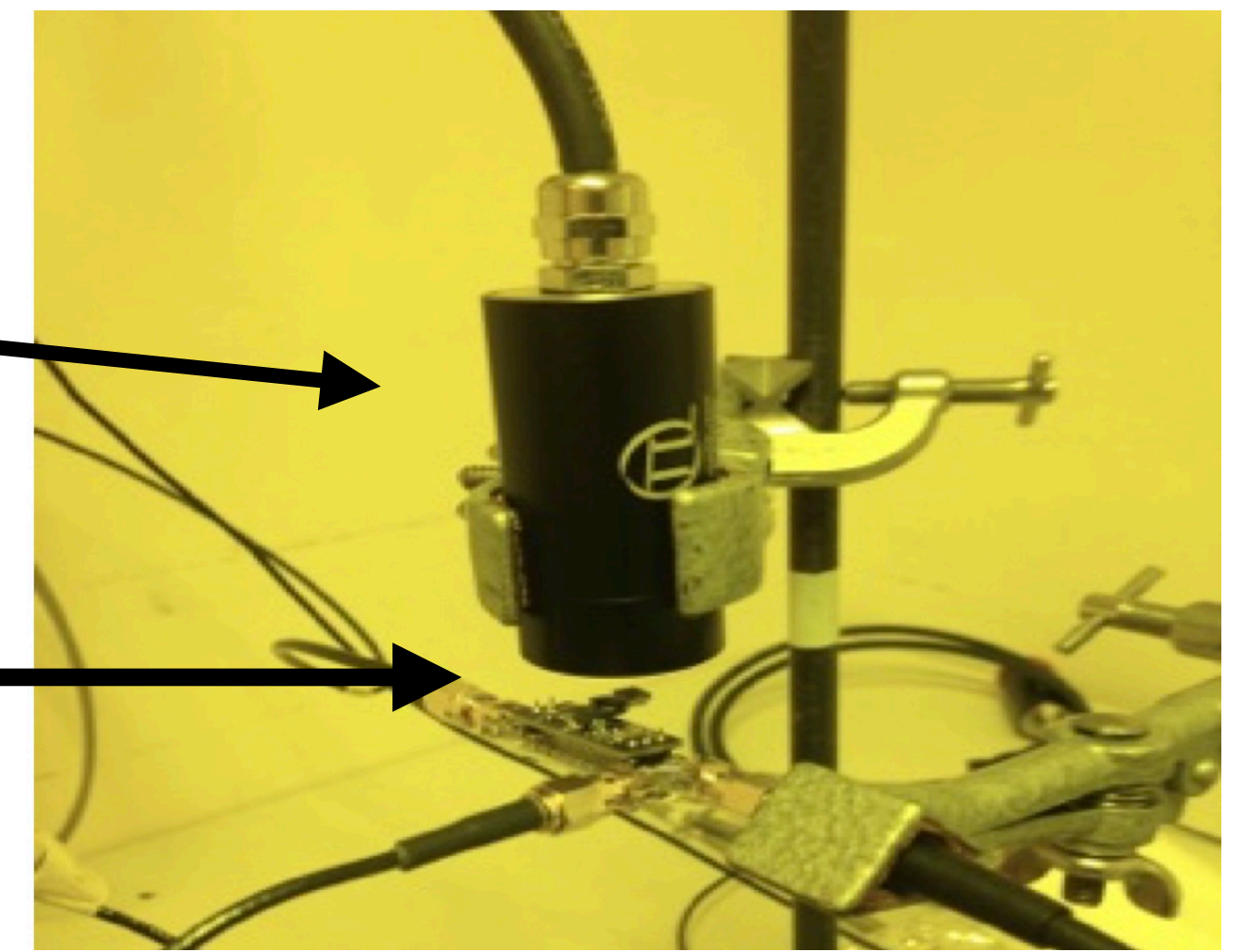
Nominal DCR= 0., 1. ,2. ,5. ,9. ,20. GHz

SiPM Over voltage 2.0 V

$\langle N_{pe} \rangle \sim 150, 390 \text{ p.e.}$

PicoQuant Laser Head  
(0.35 nsec rms, 497 nm.)  
SiPM and TIA board

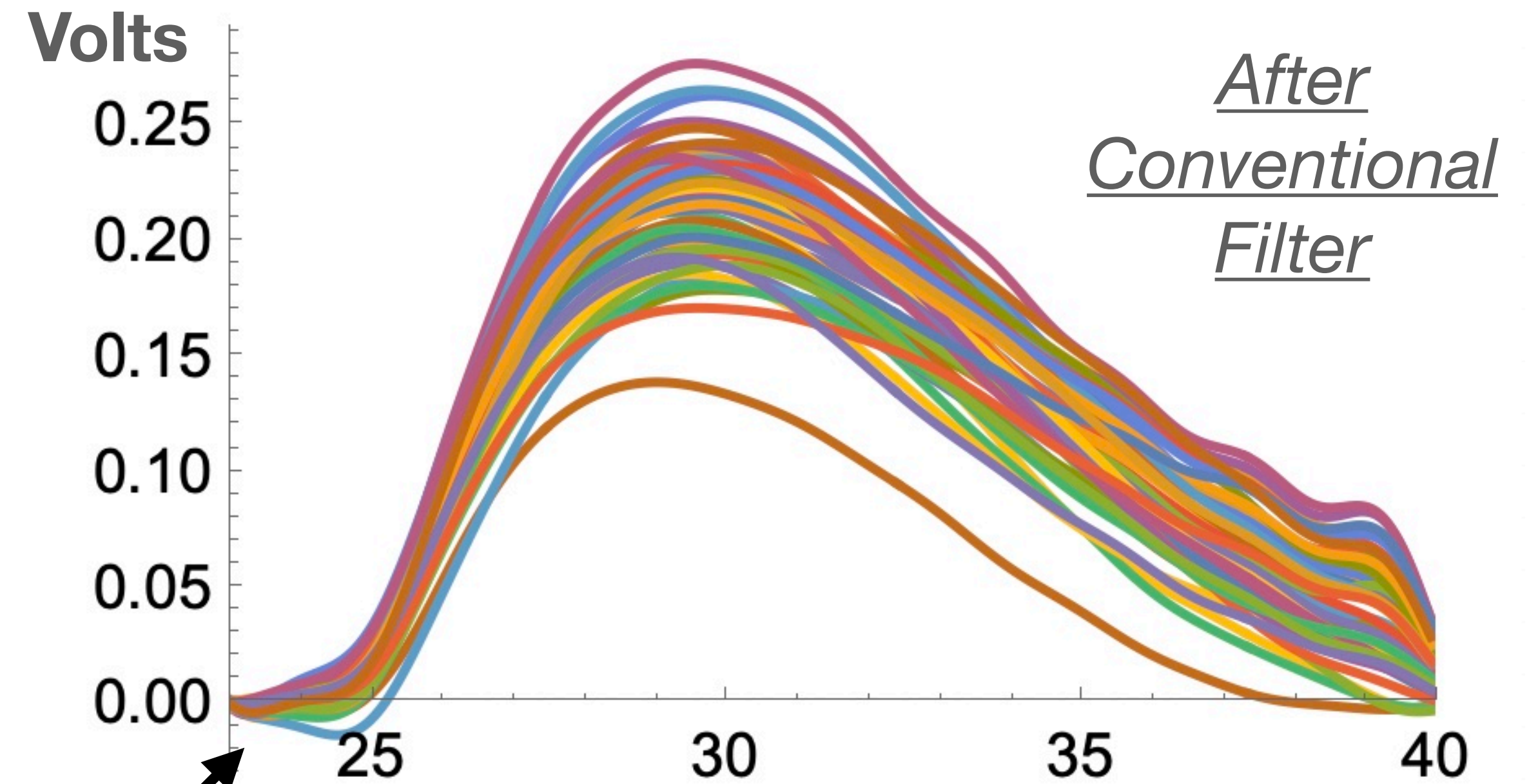
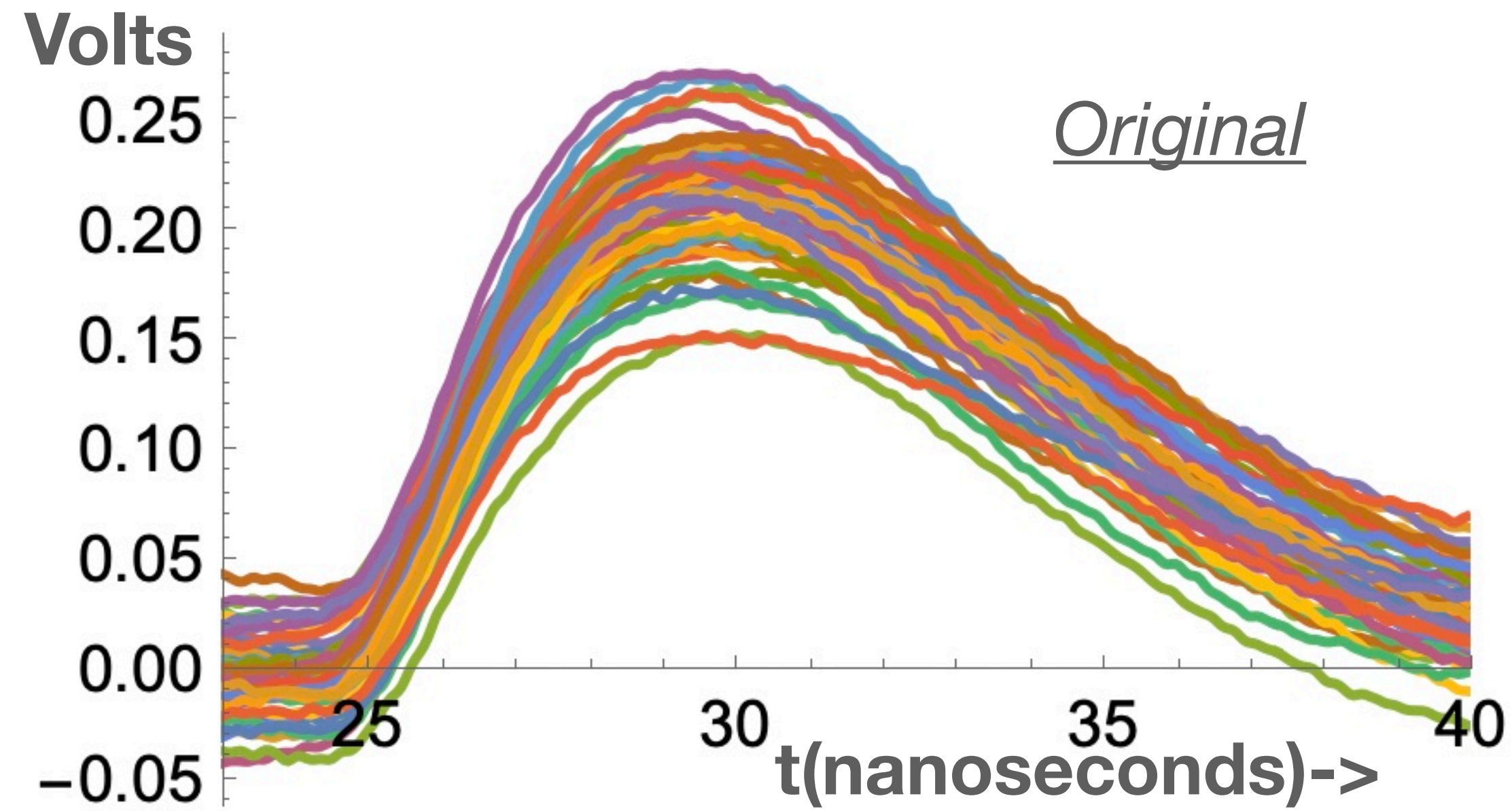
(Not shown: SiPM cooling)





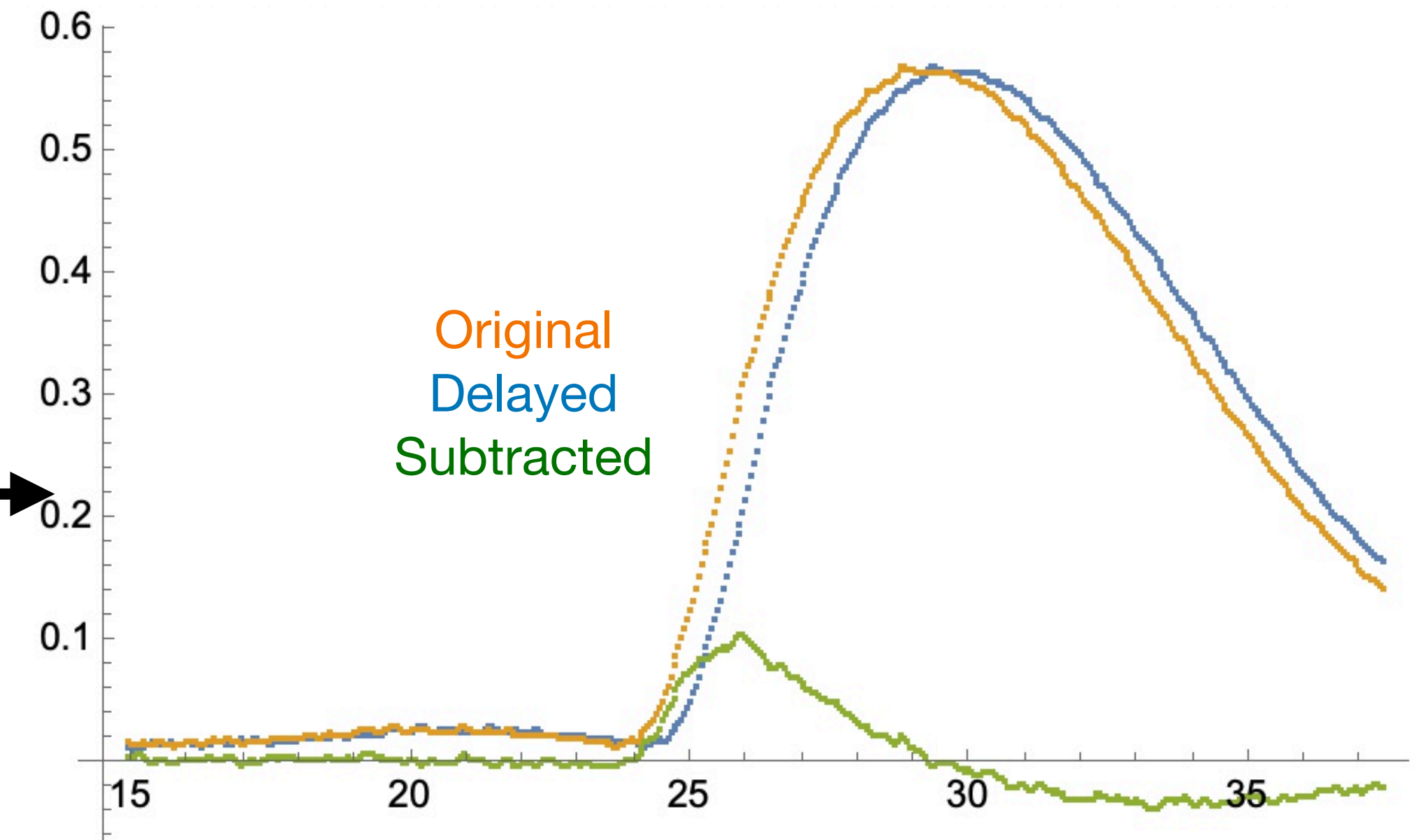
# Typical waveforms (several laser intensities)

Laser data, 20 GHz DCR, OV=2 volts



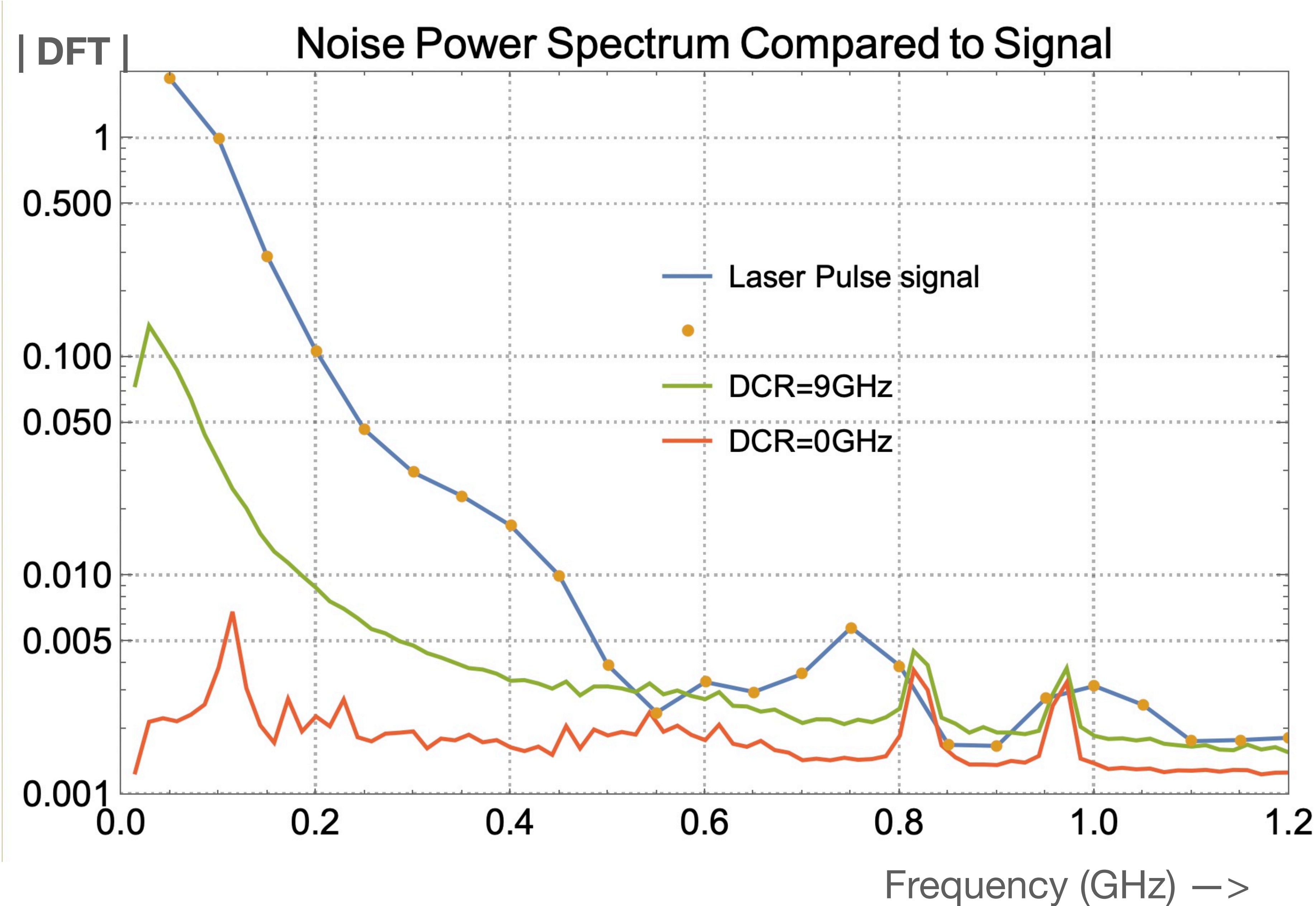
Familiar techniques used to mitigate jitter:

- High Frequency: 500 MHz low pass filter (cp right vs. Left)
- Low Frequency:
  - Active baseline Restoration
  - (or Single Delay Line Shaping a la TOFHIR)





# In Frequency Domain Signal and DCR noise similar.



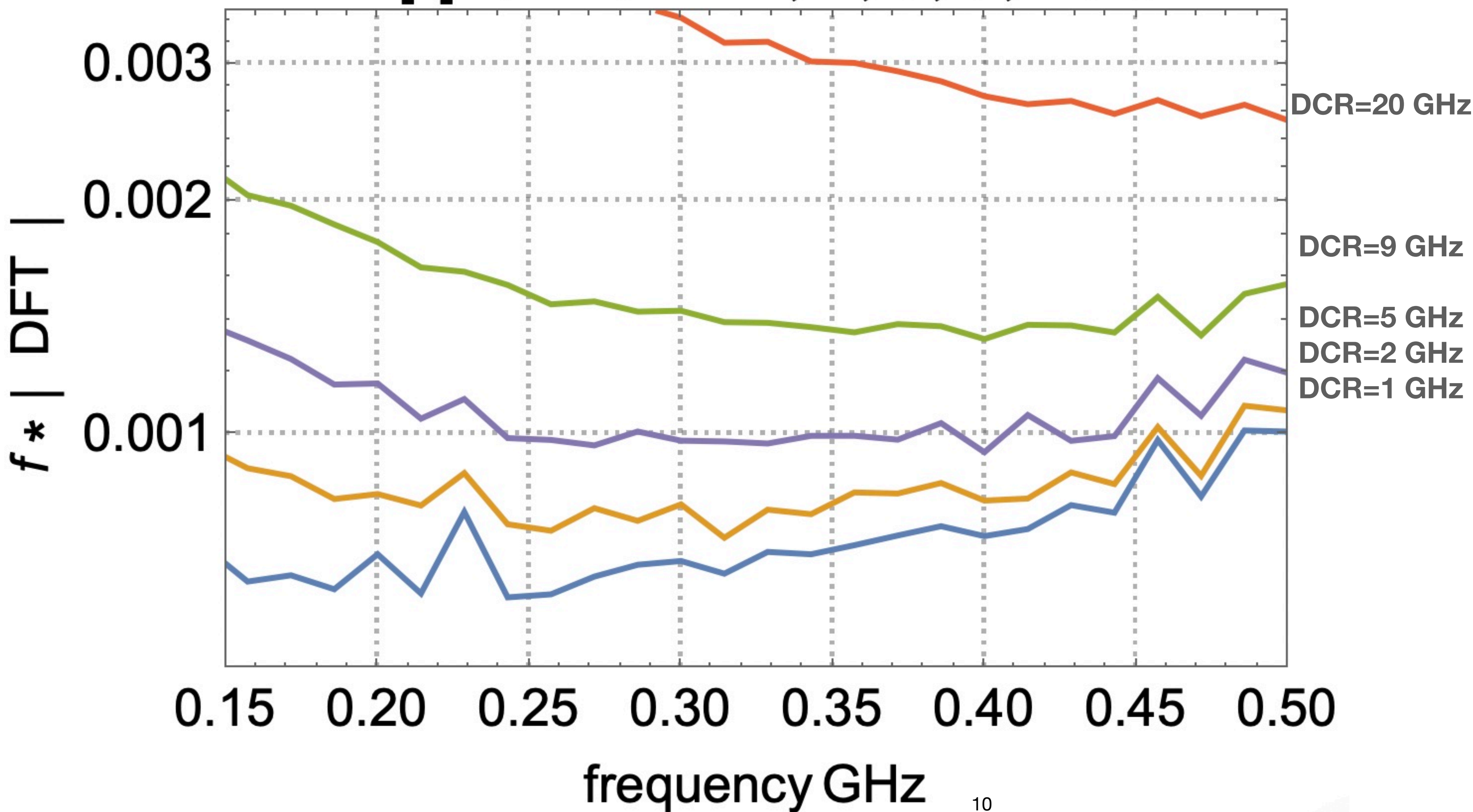


Frequency Spectrum of  
Dark Count Noise  
Seems reasonable

(Square Root of) Power Spectrum  
- ie **| DFT |** of DCR noise

$f * V[f]$  for DCR = 1, 2, 5, 9, 20 GHz

“Discrete Fourier Transform”





# Workflow for DCR jitter Mitigation

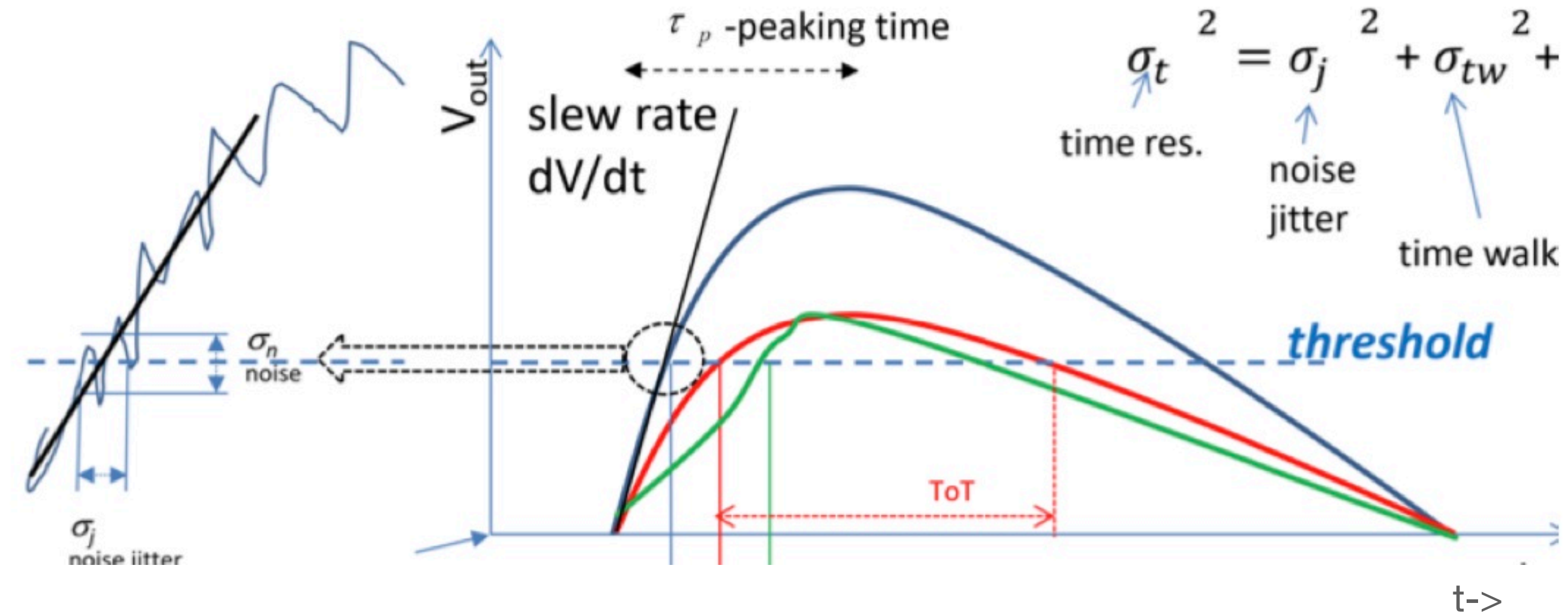
## 1) Day-1

This part is familiar.

HF noise-> filter, 2 thresh..

Correctible Amplitude walk

(W. Digitized WFs -> CF technique)



## 2) Day-2

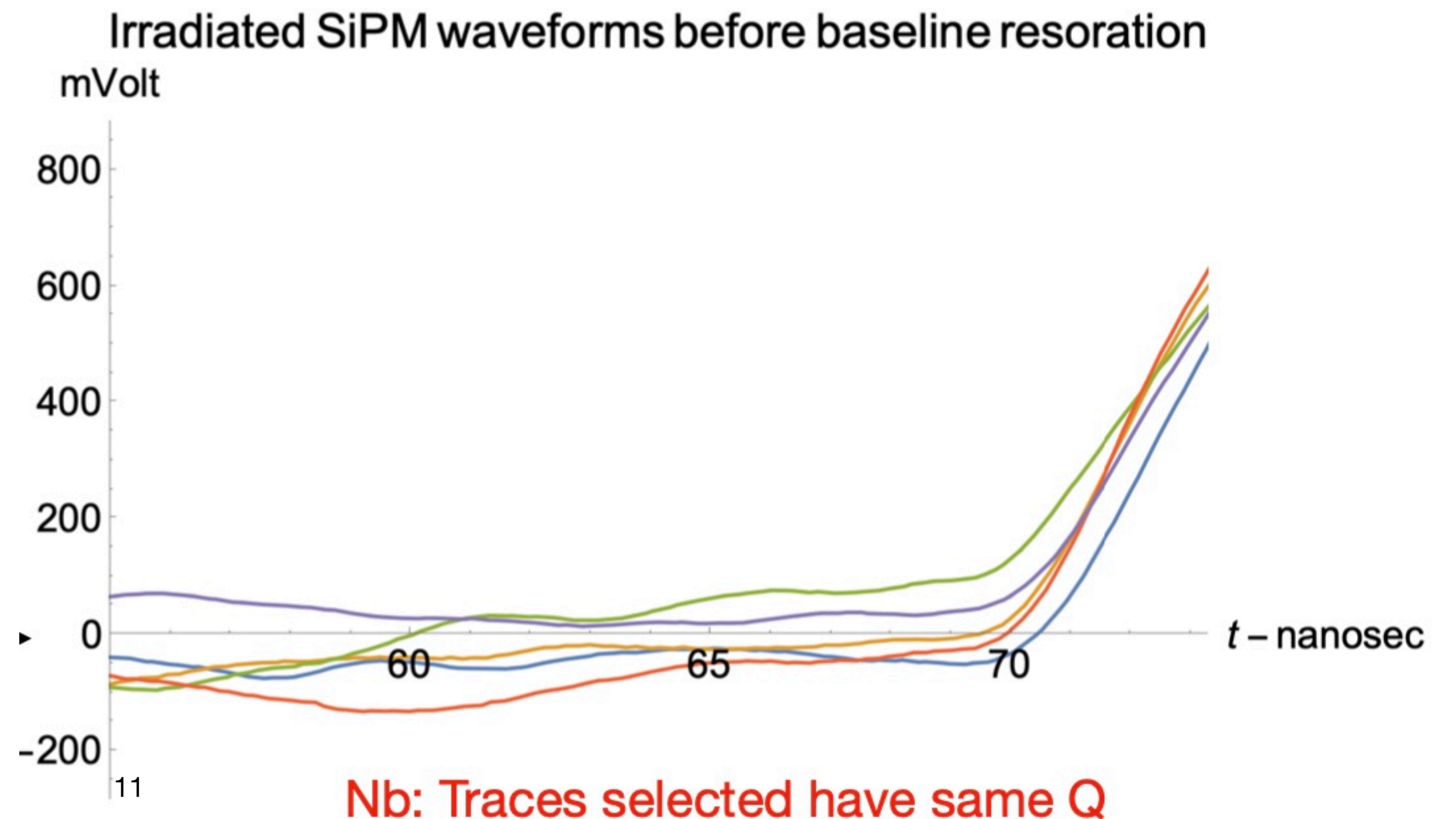
DCR-> unstable baseline

=> Restore Actively or

w. Single Delay Line Shaping (a la TOFHIR)

**We Don't account for baseline slope.**

**This is key to the following.**





# Some Definitions:

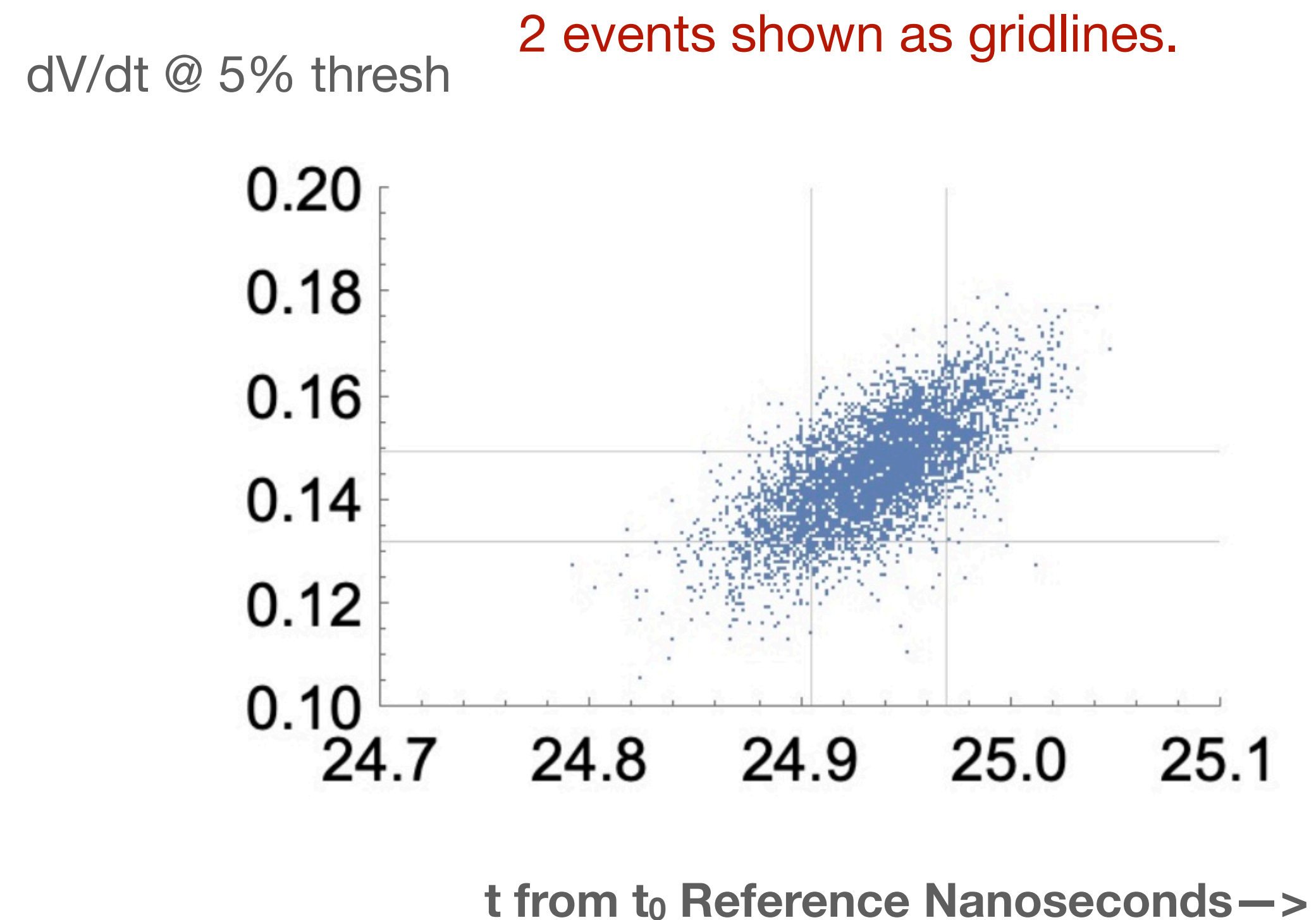
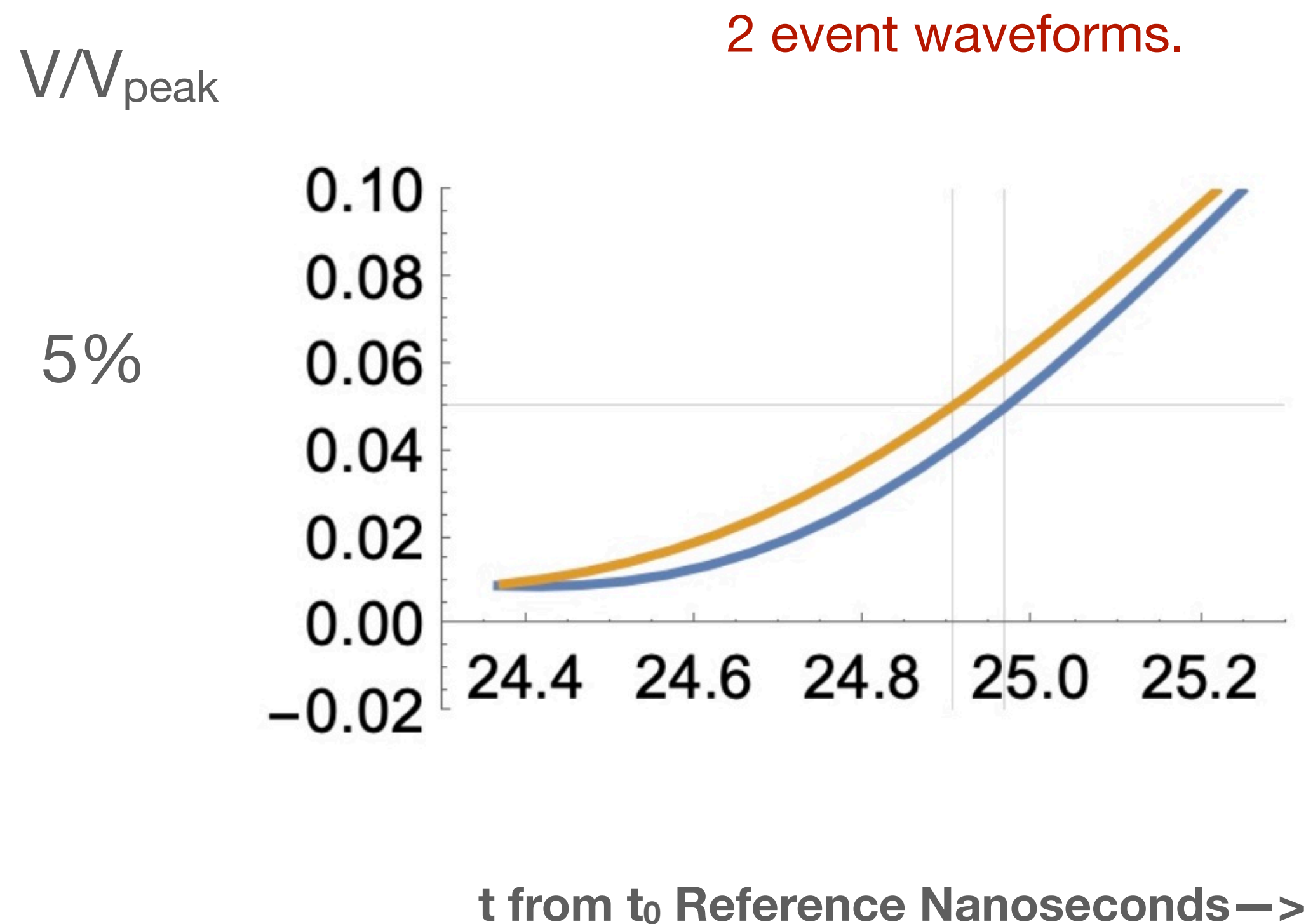
## The Data sets

1) Joram Lab. SNW& A. Heering: (ibid) **used for rest of this talk** 

2) April, May '21 FNAL TB: see Dec. 17, 2021 TB analysis mtg.

[https://indico.cern.ch/event/1106612/contributions/4655573/attachments/2367458/4042828/signal\\_processing\\_DCR\\_SNW.pdf](https://indico.cern.ch/event/1106612/contributions/4655573/attachments/2367458/4042828/signal_processing_DCR_SNW.pdf)

## Correlated Jitter in Hi DCR (up to ~20 GHz) Joram lab data:

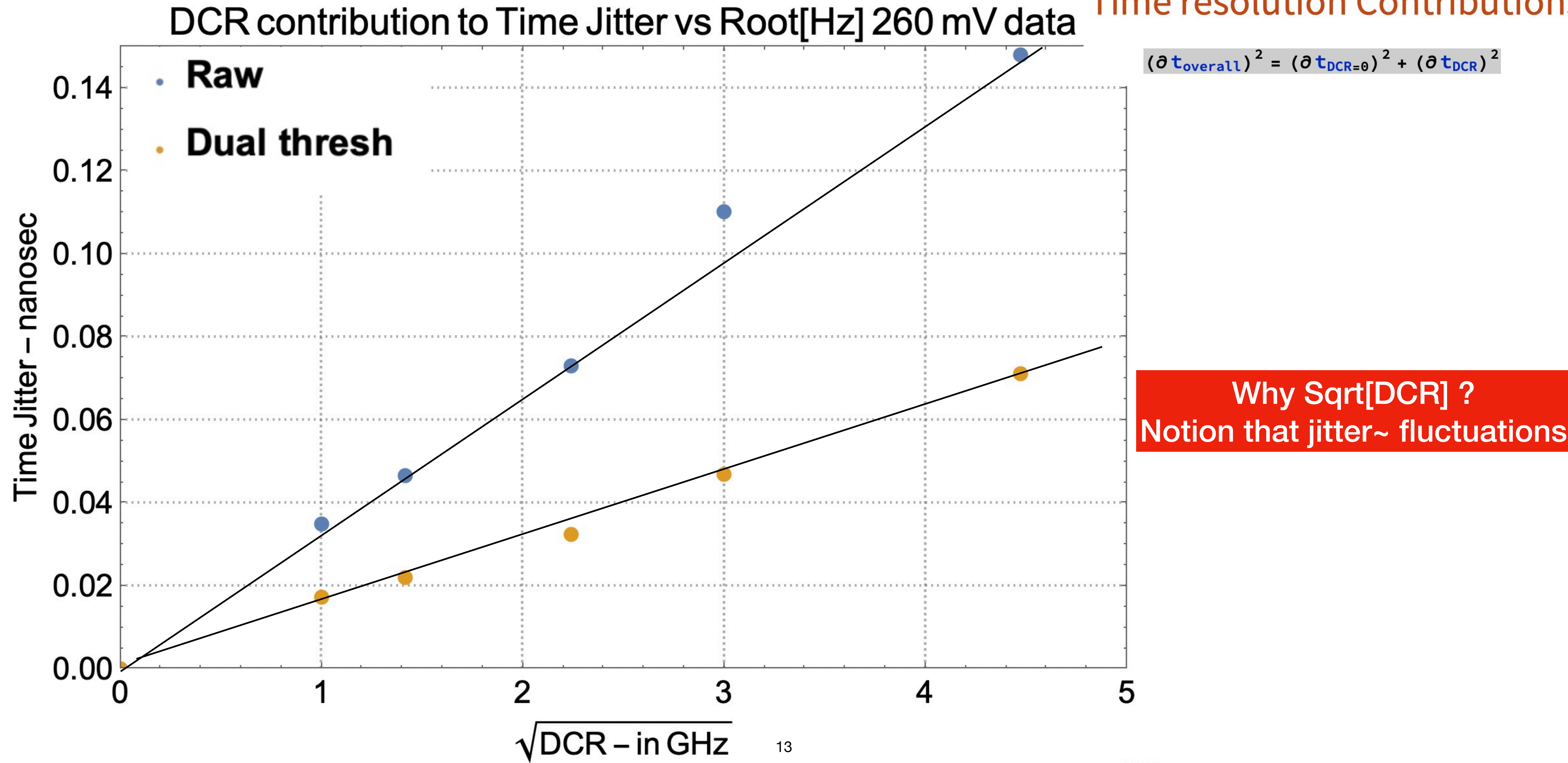


580 mV data



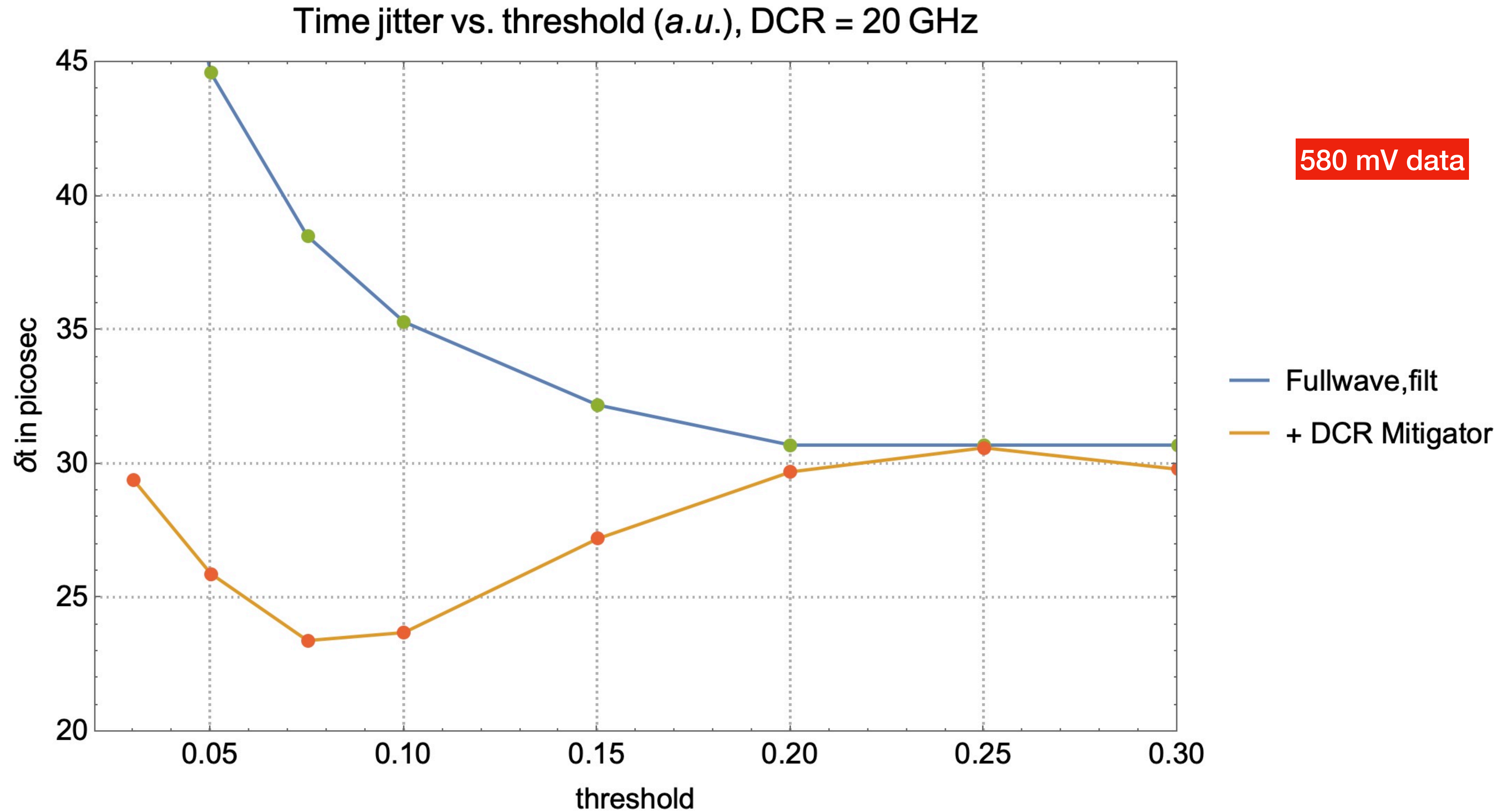
Example of Effectiveness: **260 mV** laser data at 5%CF threshold timing  
Shows here that Correction from  $\partial \frac{dV}{dt}$  predicts  $\partial t$  very effectively.

Time resolution Contributions



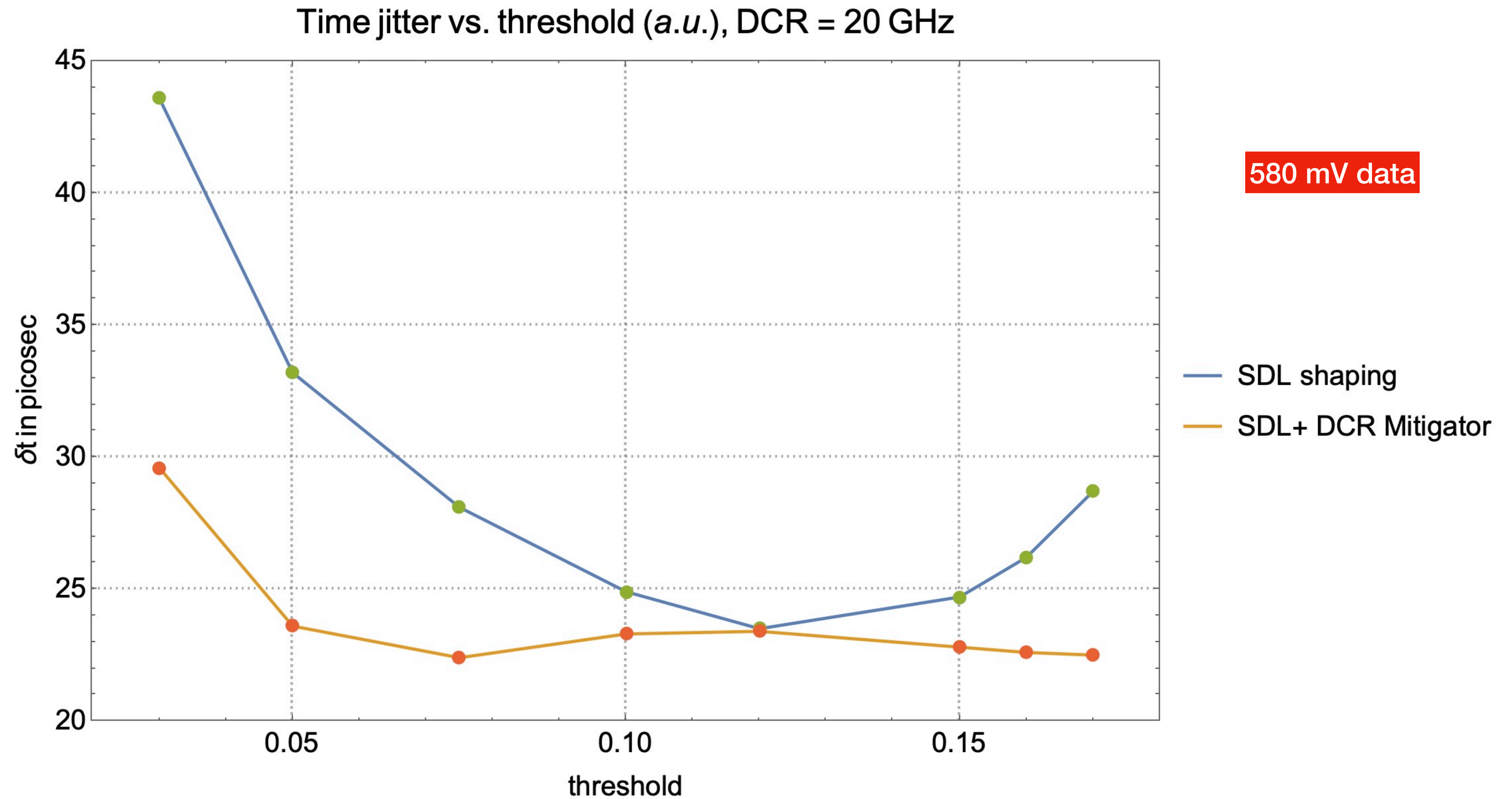


# Next 2 slides show recent analysis of DCR=20 GHz w & w/o SDL shaping





# Using 0.6 nanosecond Single Delay Line Shaping





# Observations:

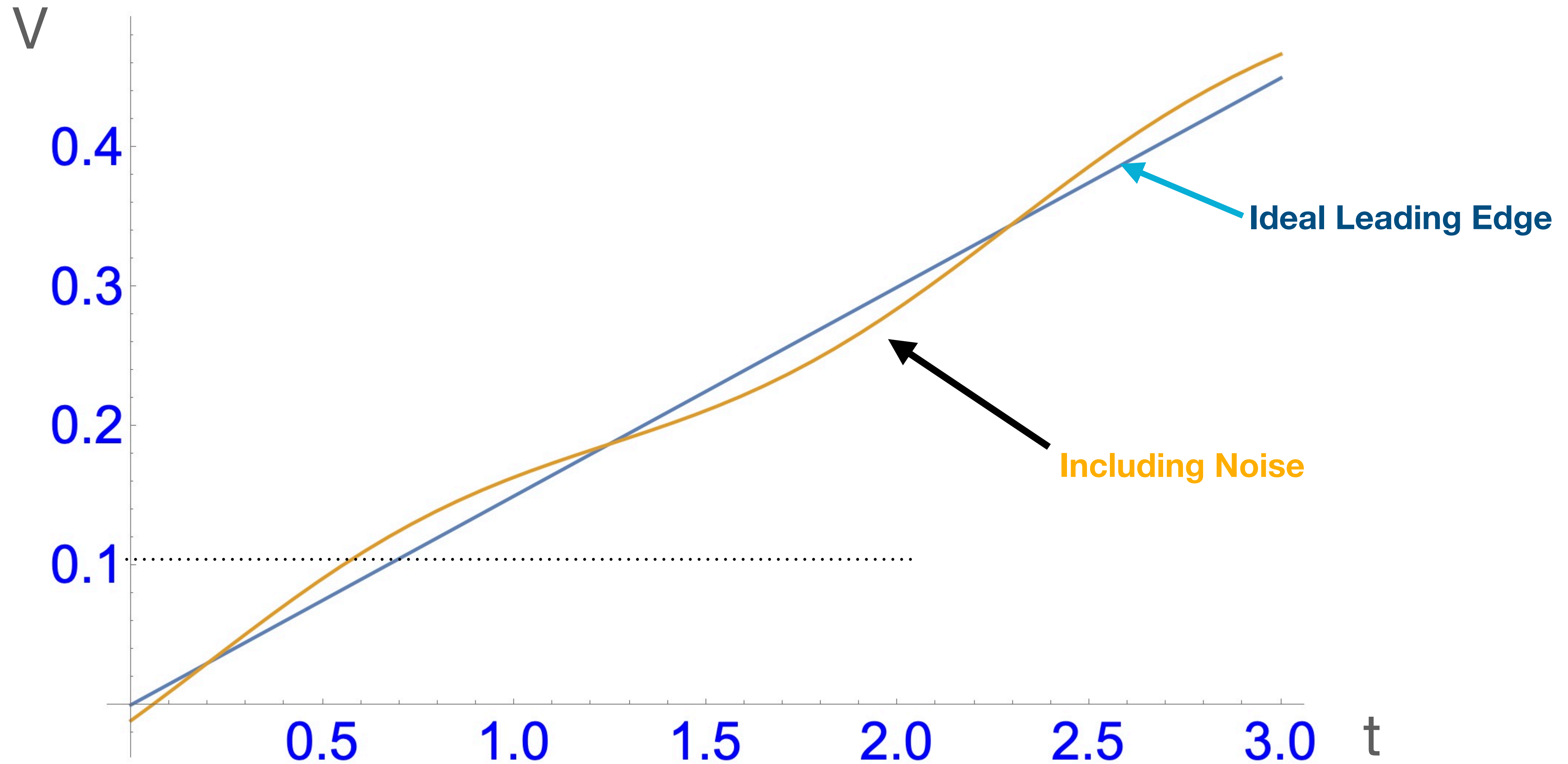
- SDL Shaping (ie CMS ASIC) doesn't eliminate usefulness of tool
- Recent attempts to apply tool to ASIC\* data inconclusive
- Hard to build prescription for use in ASIC\* w/o clear picture of origin
- Nb: Previous slides used CF technique but ASIC\* has fixed Thresh.
- In following slides we show by simulation that:

Combining 1/f noise w baseline restoration leads to correlation tool

\* ASIC== "TOFHIR" ASIC by PETSYS/LIP



**Assume Event waveform has a simple noise term as  $\text{Sin}[f \cdot t + c]$**



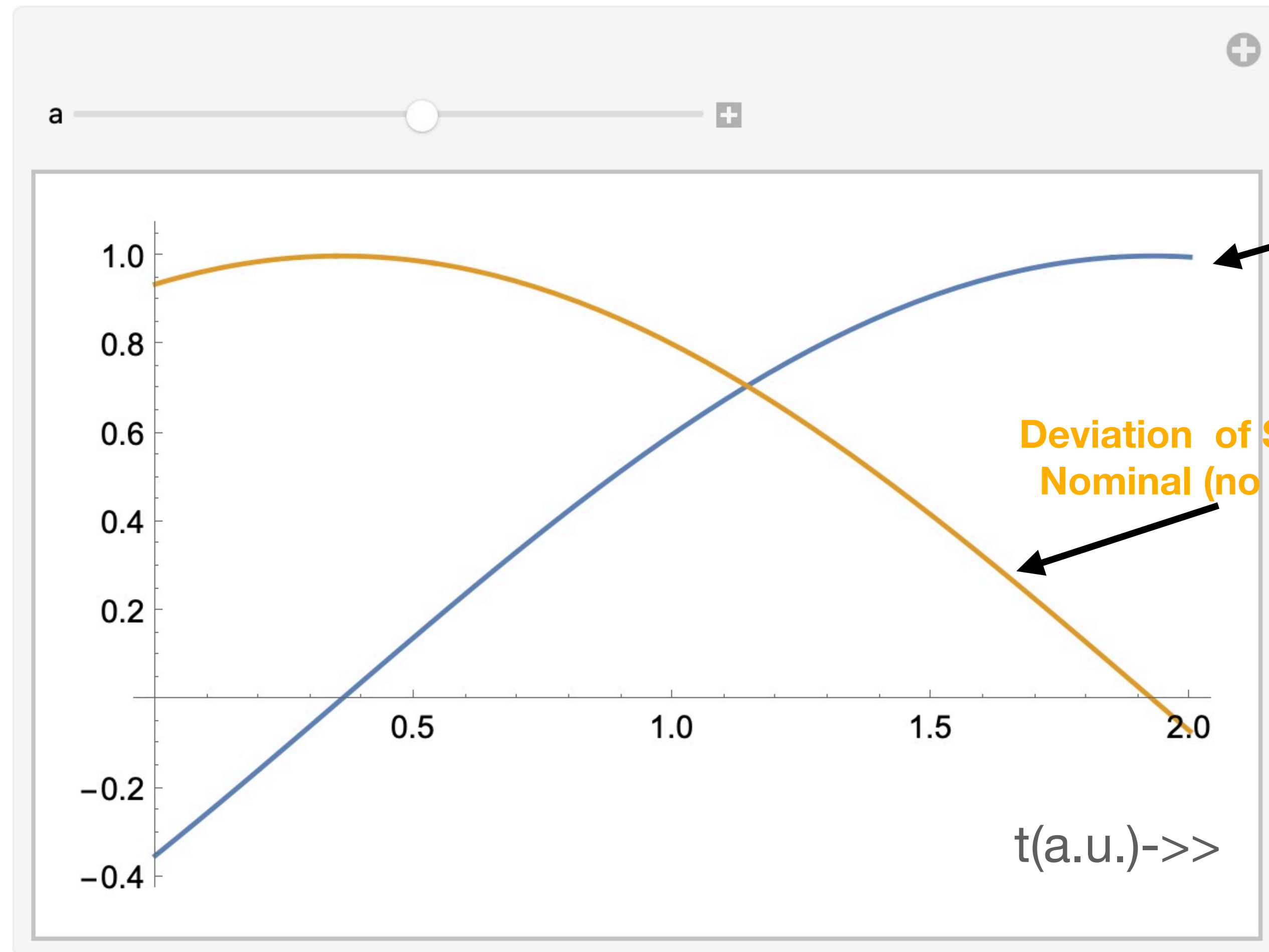


# Is there a correlation in beginning of wave between

(1)  $(\partial V \rightarrow \partial t)$  and

2)  $\partial \frac{dV}{dt}$  ie derivative of noise term) ?

```
Manipulate[Plot[{(Sin[1 * (x - a)]), (Cos[a - x])}], {x, 0, 2}
```



Deviation of V from  
Nominal (no noise) waveform

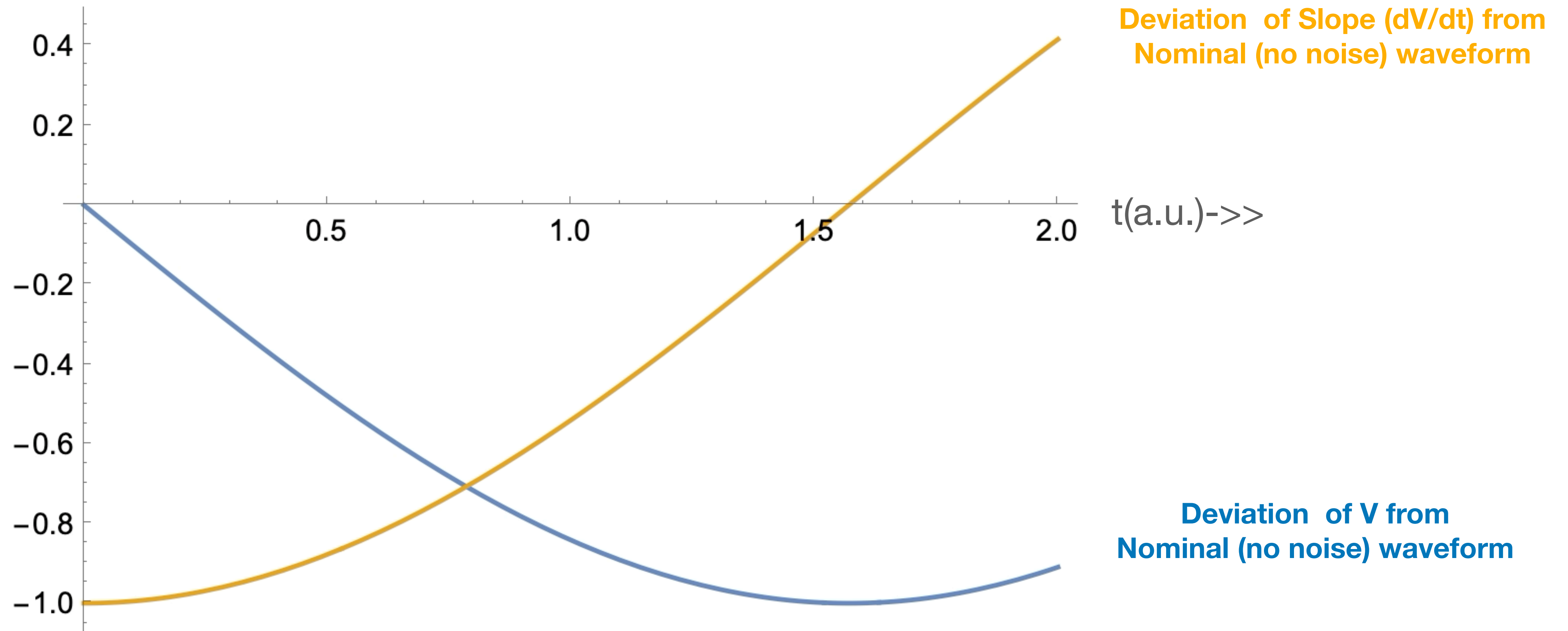
Deviation of Slope ( $dV/dt$ ) from  
Nominal (no noise) waveform

Answer: “no”

Flips sign depending on  
phase

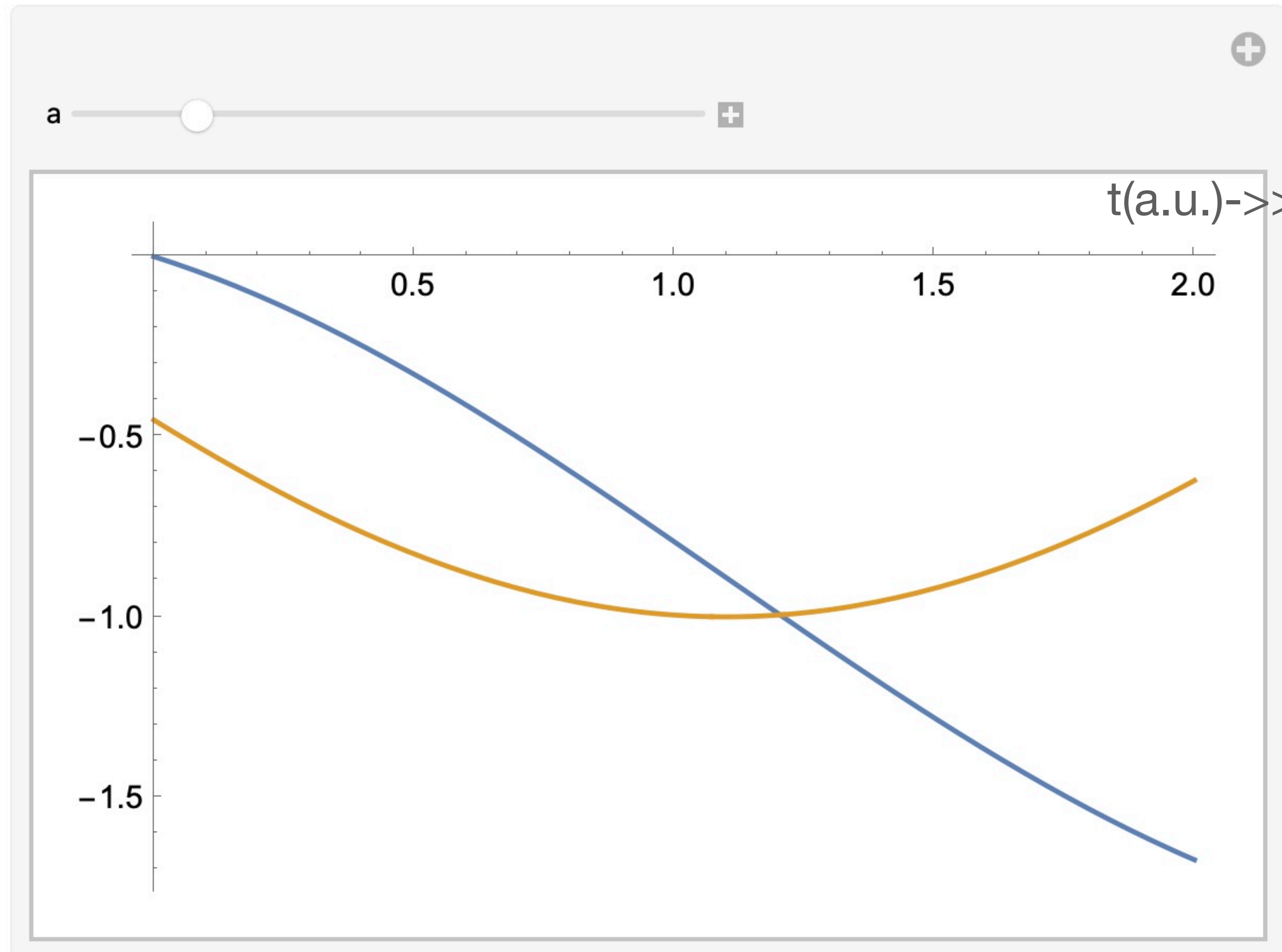


# Animation Showing Phase Dependence (Click on slide to run animation)



# What happens if we introduce Baseline Restoration?

Manipulate[Plot[{(Sin[1 \* (x - a)] - Sin[-a]), (Cos[a - x])},



Answer: “yes”

Now  $(\partial V \rightarrow \partial t)$  and  $\partial \frac{dV}{dt}$

Move together

If  $\partial V$  +’ve  $\rightarrow \partial t$  -’ve

And  $\partial \frac{dV}{dt}$  +’ve

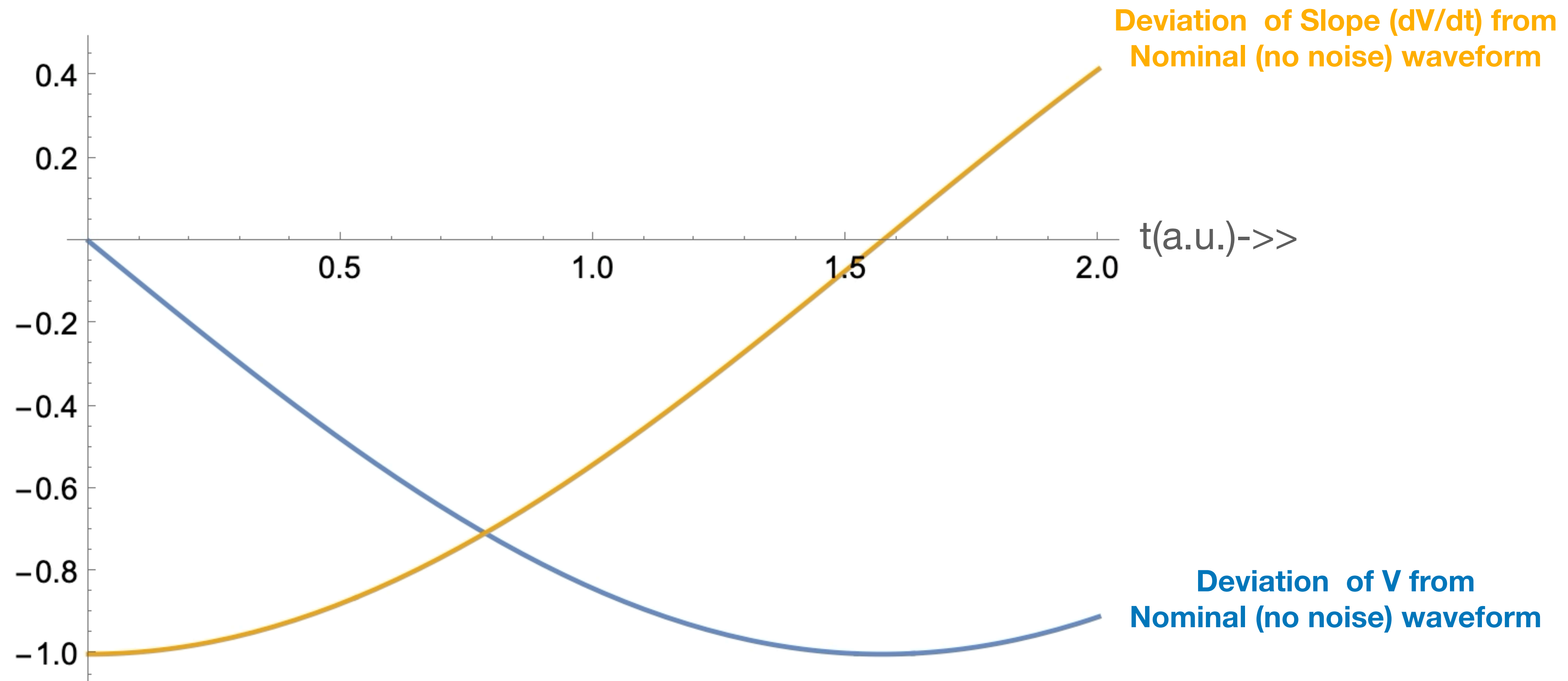
We can use a measure of  $\partial \frac{dV}{dt}$

to Correct timing for Noise effect !!

Analogous to Amplitude Walk Correction



# Animation Showing Phase Dependence (Click on slide to run animation)



# Summary

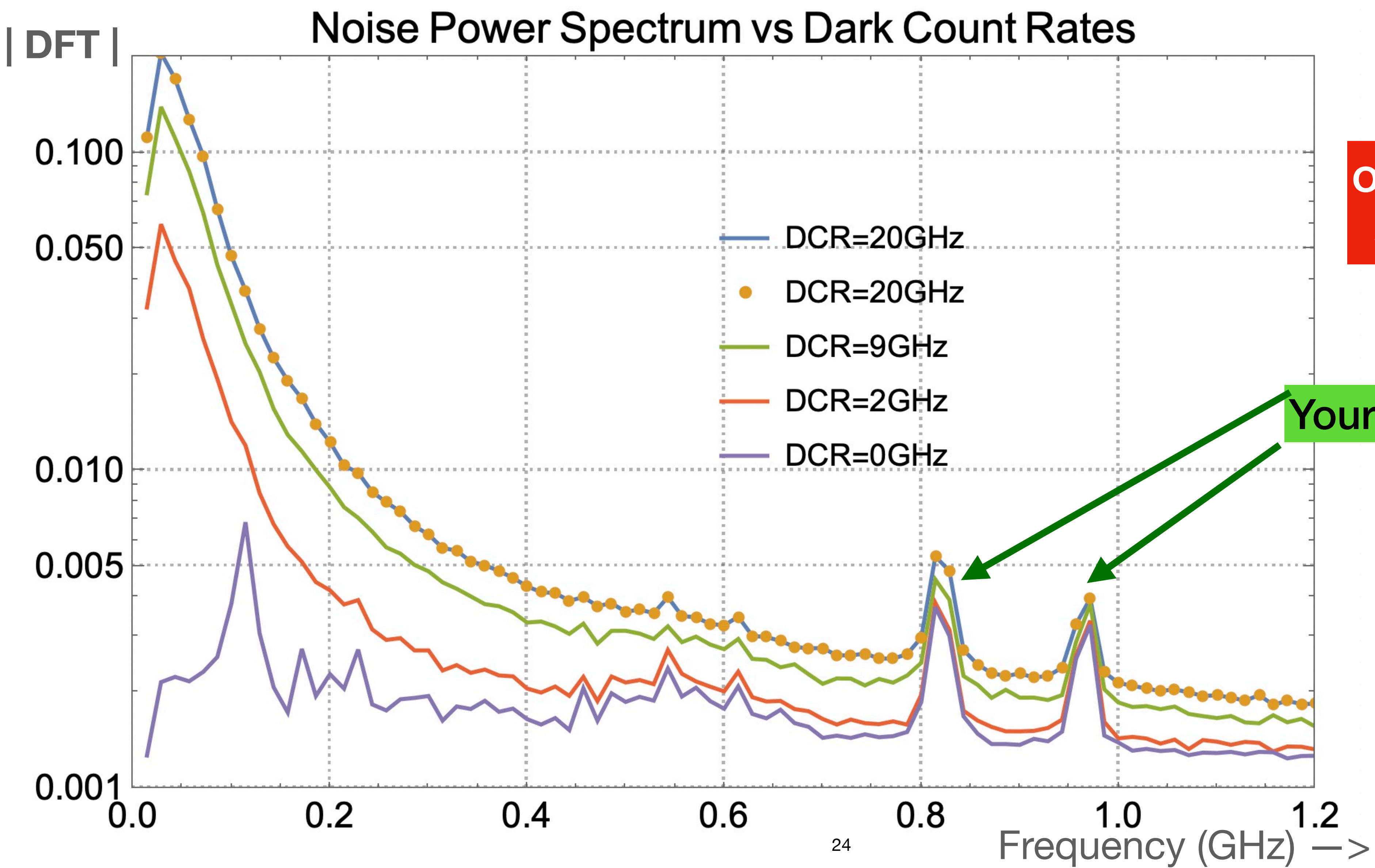
- Have demonstrated a tool to reduce time jitter due to DCR
- **Likely to be useful in SiPM timing applications w high DCR noise**
- Usefulness in CMS BTL project to be demonstrated with ASIC
- Also suggests new ASIC architectures:
  - Output signal slope @threshold?
  - Process waveforms w. Amplitude correction



# Backup

# 3) Properties of DCR Noise:

HF noise (ie GSM @ 0.8 & 1 GHz) Easy to remove.  
But DCR similar to Signal



only noise  
Sources

Your cell phone?



# Common Aspects of $1/f^n$ Noise

- Unexpected “flicker noise” seen by Johnson (1925)
- Then Schottky derivation from train of pulses:

$$N(t, t_k) = N_0 e^{-\lambda(t-t_k)} \text{ for } t \geq t_k$$

$$\Rightarrow S(\omega) = \lim_{T \rightarrow \infty} \frac{1}{T} \langle |F(\omega)|^2 \rangle = \frac{N_0^2}{\lambda^2 + \omega^2} \lim_{T \rightarrow \infty} \frac{1}{T} \left\langle \left| \sum_k e^{i\omega t_k} \right|^2 \right\rangle = \frac{N_0^2 n}{\lambda^2 + \omega^2}$$

- Since 1925 observed in many systems:  
frog nerve membrane (Vervain & Derksen 1968),  
Music (Ross & Clarke 1975), Astronomy (Press 1978)...

*See Backup Slide examples*

- Signal Processing for  $1/f$  noise (eg Radeka 1969)
  - **But Not AFAIK for timing**

